# Optimal Planning of Parking Infrastructure and Fleet Size for 1 Shared Autonomous Vehicles

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# ABSTRACT

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11	Keywords:	Parking is a crucial element of the driving experience in urban transportation systems. Especially
12	Parking	in the coming era of Shared Autonomous Vehicles (SAVs), parking operations in urban trans-
13	Fleet Size	portation networks may inevitably change. Parking stations are likely to serve as storage places
14	Shared Autonomous Vehicles	for unused vehicles and depots that control the level-of-service of SAVs. This study presents
15	Optimization	an Analytical Parking Planning Model (APPM) for the SAV environment to provide broader in-
16	Planning	sights into parking planning decisions. Two specific planning scenarios are considered for the
17	Relocation	APPM: (i) Single-zone APPM (S-APPM), which considers the target area as a single homoge-
18		neous zone, and (ii) Two-zone APPM (T-APPM), which considers the target area as two different
19		zones, such as city center and suburban area. S-APPM offers a closed-form solution to find the
20		optimal density of parking stations and parking spaces and the optimal number of SAV fleets,
21		which is beneficial for understanding the explicit relationship between planning decisions and
22		the given environments, including demand density and cost factors. In addition, to incorporate
23		different macroscopic characteristics across two zones, T-APPM accounts for inter- and intra-
24		zonal passenger trips and the relocation of vehicles. We conduct a case study to demonstrate
25		the proposed method with the actual data collected in Seoul Metropolitan Area, South Korea.
26		We find that the optimal densities of parking stations and spaces in the target area are much
27		lower than the current situation. Sensitivity analyses with respect to cost factors are performed
28		to provide decision-makers with further insights.
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#### 1. Introduction 30

Parking is one of the crucial elements of the driving experience in urban transportation systems. However, as 31 the number of vehicles in large cities around the globe increases rapidly, the lack of parking spaces has become a 32 severe problem. From the perspective of the transportation system operator, the need to store vehicles is progressively 33 increasing, which has led to the transformation of valuable real estate into parking garages (Nourinejad et al., 2018). 34 Moreover, from the perspective of individual users, it is required to spend more time on the road searching for empty 35 parking spaces, which eventually worsens overall traffic conditions (Lam et al., 2006). As a result, to improve the 36 overall efficiency of the transportation system, it is necessary to study efficient parking operations. 37

A typical vehicle spends around 95% of its lifetime sitting in a parking space (Bates and Leibling, 2012). If we 38 can utilize these unused vehicles to serve other travel demands, there is a possibility of reducing overall system costs, 39 including vehicle ownership and parking operation. The idea behind 'Shared Autonomous Vehicles' corresponds to 40 this possibility (Shaheen and Chan, 2016). Shared Autonomous Vehicles (SAVs) are the combination of growing 41 shared mobility services (i.e., car-sharing and ride-hailing) and emerging autonomous vehicle technology Wang et al. 42 (2022). SAVs can enable cost savings, provide convenience to users, and lead to sustainable transportation by reducing 43 vehicle usage (Narayanan et al., 2020b; Ko et al., 2021; Jorge and Correia, 2013). 44

In the coming era of SAVs, it is inevitable to change parking operations in urban transportation networks (Zhang 45 and Guhathakurta, 2017; Golbabaei et al., 2021). Parking stations are likely to serve as storage places for unused 46 vehicles and depots that control the level-of-service of SAVs. As the market penetration of SAVs increases, there 47 may be two main changes related to parking operations. First, parking demand is likely to be reduced because overall 48 vehicle usage is likely to decrease (Zhang and Guhathakurta, 2017; Narayanan et al., 2020b). According to previous 49

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research, more than 25% of individuals are willing to give up their vehicle ownership given the availability of an SAV
alternative (Menon et al., 2019). Also, one SAV can replace from 1.93 to potentially ten conventional individually
owned vehicles (Lokhandwala and Cai, 2018; Fagnant and Kockelman, 2014). Second, the spatial distribution of
parking stations (parking lots) may be fundamentally rearranged (Zhang, 2017). It may be possible to relocate parking
stations outside the city center; SAVs may travel from parking stations to passengers because SAVs can travel at a low
cost (Kröger and Kickhöfer, 2017).

Despite many studies on parking operations with the dominance of SAVs, most studies have used simulation-based 7 approaches. However, such approaches have limitations. They require massive effort and cost (i) to acquire appro-8 priate and detailed data and (ii) to set up and run computationally heavy simulations to obtain appropriate solutions. a Consequently, solutions from simulation-based approaches rely highly on the quality of collected data so that proper 10 solutions can only be found when a sufficient amount of explanatory data exists for simulation. Moreover, simulation 11 results for a particular situation are difficult to generalize and apply to different situations. The findings from the sim-12 ulation results from a certain city cannot be directly applied to another city's planning problem, since the results are 13 'site-specific.' 14

In this study, to overcome the limitations of simulation-based approaches, we present an Analytical Parking Plan-15 ning Model (APPM) with SAVs. Usually, analytical models focus primarily on functional systemic relationships be-16 tween planning variables and the objective function. Based on the mathematical approximations presented in Daganzo 17 and Ouyang (2019b), we can simplify the parking operation of urban transportation systems with SAVs. By using this, 18 only macroscopic data of target cities (or area) is needed, instead of detailed data required in simulation analysis. Ana-19 lytical models are used to identify generally applicable insight on planning decisions; if detailed data are available, this 20 insight can be further improved and refined through simulations for target areas if necessary. Similarly, the proposed 21 model in this study explicitly explains the inter-relationship among the parking planning variables together with the 22 other important exogenous factors, such as land cost and vehicle operating costs, in a closed form. The required data to 23 use the proposed model is much simpler than the data required for simulation-based approaches, which overcomes the 24 first limitation of simulation-based approaches. Also, using a closed form can overcome the significant computation 25 inefficiency of simulation-based approaches, since the solutions can be calculated by one shot. 26

We use two scenarios to describe parking operations in a given urban traffic network, the Single-zone Analytical 27 Parking Planning Model (S-APPM) and Two-zone Analytical Parking Planning Model (T-APPM) to properly design 28 a model structure that describes the parking operation with SAVs. S-APPM considers the target region a single zone 29 with macroscopic characteristics for parking planning decisions. As a result, the derived optimal parking planning 30 decisions are assumed to be the same in all sub-regions in the target region. On the other hand, T-APPM considers the 31 target region as two distinguishable zones, usually represented as a city center and suburb. As a result, it is possible to 32 consider the effects of different macroscopic characteristics of each zone (such as passenger demand, land cost, average 33 speed, etc.) on parking planning decisions. Also, we conduct case studies for each model to demonstrate the sensitivity 34 of the model on the cost factors and the effect of relocation between zones on parking planning. The contributions in 35 this paper are summarized as follows: 36

- To the best of our knowledge, it is the first to propose analytical models for parking planning, especially with SAVs.
- We formulate the parking operation problems with total operating cost as an objective function, and we carefully derive the parking operation variables in the objective functions for S-APPM (Section 3) and T-APPM (Section 5). The models explicitly explain the inter-relationship among the variables together with the other important exogenous factors.
  - We conduct the case studies to give general insights on the proposed model with extensive sensitivity analyses on cost parameters for each parking operation variable. (Section 4, Section 6)

The organization of this paper is as follows. We present S-APPM in Section 3 and solve the objective function to derive the decision variables and parking operational variables. Then, in Section 4, a case study of Seoul, South Korea, is presented to further elaborate on our findings for S-APPM. T-APPM is presented in Section 5 by extending S-APPM with the relocation of vehicles between two zones. A case study of the Seoul Metropolitan Area, including Seoul and other cities near Seoul, is presented in Section 6. Finally, in Section 7, we conclude our study by summarizing the key findings and contribution of this study, as well as addressing limitations and proposing future research. The notations used in this paper are listed in Appendix A.

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# <sup>1</sup> 2. Literature Review

The emergence of shared autonomous vehicles (SAVs) is expected to bring significant changes to urban transportation systems, including the way in which parking infrastructure is planned and managed. As SAVs are expected to operate more efficiently and have higher utilization rates than conventional vehicles, the demand for parking spaces may decrease in some areas while increasing in others. This presents a unique challenge for urban planners and policymakers, who must optimize parking infrastructure to meet the changing demands of SAVs. However, despite the r increasing interest in SAVs, the literature on parking optimization in the context of SAVs is still relatively limited.

Several studies have examined the potential impact of SAVs on urban transportation. Fakhrmoosavi et al. (2022) 8 conducted a study to explore the effects of various parking strategies on Shared Autonomous Vehicle (SAV) fleets, 9 taking into account the escalating demand for ride-hailing services. The study highlighted the potential of employing 10 parking pricing as a tactical instrument to incentivize passengers to choose shared rides. This, in turn, could alleviate 11 traffic congestion that arises from vehicles searching for parking spaces. Yan et al. (2020) presented a microsimulation 12 study on the performance of SAV fleets in the Minneapolis-Saint Paul region, examining the impacts of trip densities 13 and parking restrictions. Results suggest that SAVs can serve at most 30 person-trips per day with less than 5-minute 14 wait time, generating 13% more vehicle-miles traveled (VMT), but with dynamic ride-sharing (DRS), SAV VMT fell 15 by 17%. The paper also estimates the potential energy savings and emissions reductions with hybrid and battery-16 electric SAV fleets. Oh et al. (2020) examined the potential impacts of Automated Mobility-on-Demand (AMOD) in 17 Singapore through agent-based simulation. The study utilizes an activity-based model system to model demand and a 18 traffic simulator to model the operations of the AMOD fleet, analyzing the impacts of AMOD from the perspectives of 19 transportation planner, fleet operator, and user. The findings suggest that an unregulated introduction of AMOD could 20 increase network congestion and Vehicle-Kilometers Traveled (VKT), highlighting important policy implications for 21 future deployments of AMOD. 22

Other studies have focused specifically on the impact of SAVs on parking demand and infrastructure. Zhang et al. 23 (2015) used an agent-based simulation model in a hypothetical city to estimate the potential impact of an SAV system 24 on urban parking demand. They found that the use of SAVs could significantly reduce parking demand, particularly 25 in areas with high population density. Similarly, Zhang and Guhathakurta (2017) explored the potential impact of 26 SAVs on urban parking demand using an agent-based simulation model. The study estimates that SAVs could elimi-27 nate up to 90% of parking demand for clients with a low market penetration rate of 2%. The results also suggest that 28 different SAV operation strategies and client preferences may result in different spatial distribution of urban parking 29 demand. Azevedo et al. (2016) used SimMobility to analyze the impact of demand and supply of autonomous mobility on demand (AMoD) in Singapore. This study used an optimization algorithm to solve the facility location problem to 31 find optimal locations for a fixed number of parking stations. The results showed that the use of SAVs could signifi-32 cantly reduce the number of parking stations required, while still providing adequate service levels to users. Kondor 33 et al. (2018) considered the distance an SAV can travel to the nearest parking station as a constraint in estimating the 34 required number of parking stations. They found that the use of SAVs could reduce the number of parking stations 35 required, particularly in areas with high population density. Okeke (2020) presented a case study at the University of 36 West England to analyze the impacts of autonomous vehicle technology on parking operations. They used agent-based 37 simulation and a parking model to study the relocation of parking stations outside city centers. They found that SAVs 38 would allow parking stations to be relocated outside city centers, reducing congestion and improving accessibility. 39 Zhang and Wang (2020) analyzed the potential impact of SAVs on parking demand reduction in Atlanta by developing 40 an agent-based simulation model. The study examines the spatial and temporal parking reduction trends with mixed 41 travel modes from 2020 to 2040 and suggests that parking demand could decrease by over 20% after 2030, particularly 42 in core urban areas. However, parking demand in residential zones may double, creating transportation equity con-43 cerns, and parking relocation may result in a considerable amount of empty Vehicle Miles Traveled (VMT). The paper 44 suggests that proactive policymakers will need to modify land use regulations and travel demand management policies 45 to reap the benefits brought by SAVs and mitigate associated issues. Wang and Zhang (2021) examined the impact 46 of urban form on the performance of SAVs through simulation experiments using data collected from 286 cities. The 47 study identifies critical urban form measurements correlated with SAV performance and suggests that SAVs are more 48 efficient and generate less Vehicle Miles Traveled (VMT) in denser cities with more connected networks and diversi-49 fied land use development patterns. The results provide insights for land use and transportation policies to mitigate the 50 adverse effects of SAVs and generalize existing SAV simulation results to other U.S. cities. 51

However, the majority of previous studies have focused on the impact of SAVs on urban transportation systems

and parking infrastructure, using agent-based large-scale simulations that require significant computational resources.

<sup>2</sup> While these studies have provided valuable insights into the potential benefits and challenges of SAVs, they may not

<sup>3</sup> be practical for decision-makers who require more efficient and effective methods to optimize parking infrastructure.

In light of the challenges associated with using large-scale simulations for SAV parking optimization, there is a
 need for more efficient and effective methods. Analytical modeling approaches offer an attractive alternative, as they
 can provide general insights into parking optimization while requiring fewer and less detailed inputs.

Daganzo and Ouyang (2019a) proposed a framework to model transit systems, including shared and non-shared 7 systems. The framework provides approximate formulas for many cases of interest but is not space-tracking and 8 is deterministic. The framework can be used to analyze the performance and efficiency of various transit systems. a Several recent studies have used analytical modeling for shared mobility, following Daganzo and Ouyang (2019a). For 10 example, Bahrami et al. (2022) analyzed the benefits of offering solo and pool services for on-demand ride-hailing, 11 while Kim and Roche (2021) proposed an optimization model for flexible-route bus services in low to mid-demand 12 density areas. Daganzo et al. (2020) examined the benefits of introducing upper bound guarantees to detour distances 13 in ride-sharing services, while Kim et al. (2019) explored the benefits of flexible-route bus systems serving passengers 14 at their doorsteps in areas with low demand densities. Finally, Papanikolaou and Basbas (2021) examined Demand 15 Responsive Transport (DRT) services as a viable mobility service for low demand interurban areas. These studies 16 provide insights into the role and potential markets of shared mobility services and the need for viable business models. 17 Analytical modeling offers a more efficient and effective approach to parking optimization in the context of SAVs, 18 as it allows for the development of general and insightful designs that require fewer and less detailed inputs than 19 large-scale simulations. Such models can provide decision-makers with the tools and knowledge they need to optimize 20 parking infrastructure in a more practical manner. However, the literature currently lacks an overarching model that can 21 encompass a large family of systems, making it difficult to compare and evaluate different approaches in a generalized 22 and comprehensive way. The proposed Analytical Parking Planning Model (APPM) presented in this study aims to 23 fill this gap by providing a general analytic framework that can be used to model the steady-state performance of 24 various demand-responsive transit systems, including SAVs. This framework can help mobility service providers 25 answer important questions related to service offerings, service quality, resource acquisition, and cost management 26

<sup>27</sup> while meeting the level of service target.

# **3.** Single-Zone Analytical Parking Planning Model

To be consistent with the previous demand-responsive shared mobility model without the parking state (Daganzo 29 and Ouyang, 2019a), we consider a given homogeneous target region with a size of  $R \, [\rm km^2]$  simplified into a single 30 zone with uniform origin and destination (O-D) demands  $\lambda_t$  [veh/km<sup>2</sup>/hr], which vary by time window t. Time 31 windows associated with the daily maximum and minimum demands are designated by  $t_{\lambda_{max}}$  and  $t_{\lambda_{min}}$ , respectively. 32 Figure 1 shows the operation scenario for parking stations with SAVs. The blue circles represent the parking stations 33 installed in the target region. The density of the parking stations is denoted as x [stations/km<sup>2</sup>], parking spaces in the 34 target region is denoted as y [spaces/km<sup>2</sup>], and the average parking spaces per station z [spaces/station] is y/x. The 35 red line represents a single passenger demand in the scenario. When a passenger demand is generated, an SAV in the 36 nearest not-empty parking station is assigned to the passenger, cruises to the origin location of the passenger, and picks 37 up the passenger. After pickup, the SAV delivers the passenger to the destination. Then, the SAV cruises to the nearest 38 not-full parking station and awaits the next passenger assignment. We assume that the target region is homogeneous, 39 which means that it has homogeneous conditions and variables, such as uniform O-D demand and uniformly distributed 40 parking stations and spaces. We believe that this is a reasonable simplification given the homogeneous nature of the 41 zone we are modeling and the relatively large study site used in our case study. 42

# **3.1. Optimization Framework**

The objective of this model is to minimize total operating cost in the target region by determining three variables related to parking space and SAV fleet planning:

- x density of parking stations [stations/km<sup>2</sup>]
- y density of parking spaces [spaces/km<sup>2</sup>]
- m number of SAV fleets [veh]



**Figure 1:** Operational scenario of parking stations with Shared Autonomous Vehicles. Blue circles represent parking stations. SAV moves from one parking station to the origin location of the passenger (green), picks up the passenger, and delivers the passenger to the destination (red); SAV then moves to the nearest parking station (yellow).

1 The objective function of the parking operation consists of three different operation costs: (i) parking station costs, (ii)

<sup>2</sup> parking space costs, and (iii) fleet costs. The objective function J of the parking operation [\$/day] is to minimize the

<sup>3</sup> overall daily average operation cost (*Cost*), formulated as a function of the planning variables, *x*, *y*, and *m*, as follows:

$$J = \min_{x,y,m} Cost(x, y, m) = \min_{x,y,m} \left( C_x x R + C_y y R + C_m m \right),$$
(3.1)

• where  $C_x$  refers to the daily average operation cost of each parking station, such as the rental cost or prorated purchase • cost for the land and built infrastructure on it, except for the variable costs depending on the number of parking spaces • at the station [\$/stations/day];  $C_y$  stands for the daily operation cost of a unit parking space, except for the cost • components included in  $C_x$  [\$/spaces/day]; and  $C_m$  indicates the daily operation cost of each vehicle [\$/veh/day], • including a wide range of operating costs from purchasing costs, maintenance costs, energy/fuel costs, and driver • expenses, to other service-related costs such as online platform costs.

For passenger convenience, measures of Level-Of-Service (LOS) of SAV operation related to passenger waiting time can be used as constraints. In demand-responsive mobility services, ensuring short assigning time, i.e., the elapsed time between a call and vehicle assignment, and assigned time, i.e., the waiting time between the assignment and passenger pickup, is important (Lees-Miller, 2016; Daganzo and Ouyang, 2019a). In SAV operations, as an assignment can be automatically conducted in a top-down manner from the control center, assigning time can be ignored. Thus, we take into account a LOS constraint that restricts only the average assigned time so that it does not exceed a pre-selected threshold, as in:

$$T_{A,t} \le T_0, \forall t. \tag{3.2}$$

where  $T_{A,t}$  is the average passenger waiting time, only consisting of assigned time, in time window indexed by t (in state A), that means "Assigned", and  $T_0$  is the threshold, the maximum allowed average passenger waiting time. This constraint is likely to be binding when travel demand is the highest in  $t_{\lambda_{max}}$ .

Last, it is impossible to park additional SAV more than z = x/y at one parking station. This constraint is especially important when travel demand is the lowest in  $t_{\lambda_{min}}$ .



**Figure 2:** Workload transition network representation of Single-zone Parking Planning Model (S-APPM). Circles represent the states, and the directed lines represent transitions between states. The dotted line (from C to A) is also possible in reality.

# 1 3.2. SAV Operation Model with Parking

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To analytically formulate the LOS constraint as a function of the decision variables, we model SAV operations with parking planning as a workload transition network graph presented in Figure 2, inspired by the demand-responsive transit model proposed by Daganzo and Ouyang (2019a). The nodes of the graph in Figure 2 represent the operational states of SAVs, and the links stand for the transitions between them. An SAV is in either of four different states:

- P (Parked): the vehicle is idle and parked at the parking station;
- A (Assigned): once assigned to a passenger, the vehicle is moving to the passenger's current location from the previous parking station;
- S (Serving): the vehicle is delivering a passenger from his/her origin to destination;
- C (Cruising to return): After serving, the empty vehicle is cruising to the nearest not-full parking station.

Once a passenger engages service, we assume that a vehicle parked at the nearest non-empty station is assigned to the passenger, resulting in a change of operational state of the assigned vehicle from state P to state  $A (P \rightarrow A)$ . Then, the assigned vehicle moves from the parking station to the origin of the passenger's trip  $(A \rightarrow S)$ . When the vehicle arrives at the origin of the passenger's trip, the vehicle picks up the passenger and travels from origin to destination. The operational state changes from *Serving S* to *Cruising to return C* when the passenger gets off the vehicle  $(S \rightarrow C)$ . After completion of the passenger's trip, the vehicle moves to the nearest not-full parking station and waits for the next assignment  $(C \rightarrow P)$ .

In reality, it is also possible to allocate a new passenger request to the nearest vehicle in state C. In other words, 18 vehicles that are moving to a parking station can be allocated to another passenger's request before they arrive at the 19 parking station, as represented by the dotted line in Figure 2. However, for the mathematical simplicity of this model, 20 we neglect this state transition. Nonetheless, the proposed model can provide a lower bound on the efficiency of the 21 system because including the state transition from state C to state A will increase the overall efficiency of the SAV 22 system through extra operational flexibility. The lower bound can provide us with information about the minimum 23 benefits of SAV operations with optimal parking and fleet planning, which is particularly important to determine 24 the feasibility of introducing the system. Forcing SAVs to park between trips might add empty VMT (i.e., miles 25



**Figure 3:** Graphical expression of change of the number of SAV fleets over time.  $t_{\lambda_{max}}$  represents the time window associated with maximum demand and  $t_{\lambda_{min}}$  represents the time window associated with minimum demand. At a given time window t, the red portion represents the number of SAV fleets parked at parking stations  $(n_P(t))$ , the blue portion represents the required number of SAV fleets in parking station, and the green portion represents the number of SAV fleets actively running on the roads.

1 traveled without a passenger) to the system and inflate congestion. This issue can be addressed by adopting approaches

<sup>2</sup> such as Dynamic Ride Sharing, as suggested by Yan et al. (2020), or by introducing state-specific cost variables to

<sup>3</sup> minimize eVMT. Dynamic Ride Sharing enables the real-time matching of passenger requests with available SAVs,

4 thus reducing eVMT and improving the overall efficiency of the system. On the other hand, introducing state-specific

5 cost variables allows for more targeted optimization, accounting for the costs associated with eVMT and parking, and

6 helping to further minimize empty vehicle miles traveled. Both strategies have the potential to alleviate congestion and

7 improve the efficiency of SAV deployments in urban environments. However, implementing these approaches may also

increase the complexity of the model and require more sophisticated optimization techniques. Future research could
 explore the integration of these strategies to develop more accurate and practical solutions for SAV deployment in
 urban transportation systems.

The fleet size in each state in the given time window, denoted by  $n_A(t)$ ,  $n_S(t)$ ,  $n_C(t)$ , and  $n_P(t)$ , respectively, vary depending on the time-dependent passenger demand  $\lambda_t$ , as well as the decision variable.

$$m(t) = n_A(t) + n_S(t) + n_C(t) + n_P(t),$$
(3.3)

The required number of fleets in time window t, needed to ensure user LOS, can be defined as the summation of the required number of fleets in each state to ensure the LOS as shown in Equation 3.4:

$$m^{req}(t) = n_A^{req}(t) + n_S^{req}(t) + n_C^{req}(t) + n_P^{req}(t),$$
(3.4)

where  $n_A^{req}(t)$ ,  $n_S^{req}(t)$ ,  $n_C^{req}(t)$ , and  $n_P^{req}(t)$  refer to the required number of fleets in state A, S, C, and P, respectively. As shown in Figure 3, the SAV fleet size m is constant over the whole day, so the minimum required fleet size  $m^*$ is equal to the maximum required number of SAVs among all the time windows of the day, i.e., the required fleet size

in time window  $t_{\lambda_{max}}$  when the demand is at the maximum level, as shown in Equation 3.5:

$$m^* = \max\left(m^{req}(t)\right). \tag{3.5}$$

The three components on the right-hand side of Equation 3.4,  $n_A^{req}(t)$ ,  $n_S^{req}(t)$ , and  $n_C^{req}(t)$ , can be expressed based on Little's Law with exogenous and endogenous planning variables. In other words, the fleet sizes in each state are calculated by multiplying the demand ( $\lambda_t R$ ) by the expected time spent in each state ( $T_A$ ,  $T_S$ , and  $T_C$ ). The last component,  $n_P^{req}(t)$ , is a buffer to ensure that there are not too many (not to exceed the parking space limit at a station) and not too few (to ensure the level-of-service by guaranteeing an idle vehicle to assign to any demand generated nearby) vehicles at the parking station.  $n_P^{req}(t)$  can be derived based on the variance of the vehicle inflow and outflow extended based on the variance of the vehicle inflow and outflow

7 at each parking station.

<sup>8</sup> First, the fleet size in state A in time window t,  $n_A^{req}(t)$ , is derived as follows:

$$n_A^{req}(t) = \lambda_t R T_{A,t}, \tag{3.6}$$

• where, as used in Equation 3.2, SAVs' assigned time  $T_{A,t}$  is equal to the average passenger waiting time.

In some cases, especially when passenger demand is high, it is possible that the parking station nearest to the origin of the passenger does not have an idle SAV to serve passenger demand. In this case, it is required to send an idle SAV from the second-nearest parking station, and so on. As a result, we consider a confidence level p, defined as the probability that a passenger is served by an SAV from the nearest parking station. The number of fleets in state A can be expressed as Equation 3.7. If p is sufficiently high,  $p \rightarrow 1$ , the probability that an SAV from the *i*-th nearest parking station is assigned to a passenger,  $(1 - p)^i \cdot p$ , becomes almost zero, so we ignore the corresponding terms.

$$n_{A}^{req}(t) = \lambda_{t} R \left( pT_{A,t}^{1} + (1-p) \left( pT_{A,t}^{2} + (1-p)(pT_{A,t}^{3} + (1-p)(\cdots) \right) \right)$$

$$\approx \lambda_{t} R \left( pT_{A,t}^{1} + (1-p) \left( pT_{A,t}^{2} \right) \right)$$

$$= \lambda_{t} R \left( pT_{A,t}^{1} + (1-p) \cdot \alpha pT_{A,t}^{1} \right)$$

$$= \lambda_{t} RT_{A,t}^{1} \left( p + \alpha p - \alpha p^{2} \right)$$
(3.7)

where  $T_{A,t}^i$  refers to the average travel time from the *i*-th nearest parking station to the origin of the passenger, and  $\alpha$ is the incremental ratio of the travel time, i.e.,  $\alpha \equiv T_{A,t}^2/T_{A,t}^1$ . From Equations 3.6 and 3.7,  $T_{A,t}$  is  $T_{A,t}^1(p + \alpha p - \alpha p^2)$ . Note that this assumption only considers the two nearest parking places. Since we assume *p* is close to 1, this implies a high probability of finding a parking spot within the two nearest parking places. If *p* is small and the vehicle has to come from a faraway parking station, it would decrease the level-of-service. Therefore, we reasonably assume that we only consider the two nearest parking places for this study, but this assumption can be modified to better fit specific applications.

Second, the number of fleets in state S,  $n_{S}^{req}(t)$ , is derived as follows:

$$n_{S}^{req}(t) = \lambda_{t} R T_{S,t} = \lambda_{t} R \frac{l_{t}}{v_{t}}.$$
(3.8)

As  $T_{S,t}$  is the average travel time from origin to the destination for all passengers in time window *t*, it can be simply derived with average trip length  $l_t$  [km] considering the circuity of roads and the average speed  $v_t$  [km/hr].

Third, the fleet size in state C in time window t is formulated as follows:

$$n_C^{req}(t) = \lambda_t R T_{C,t}, \tag{3.9}$$

where  $T_{C,t}$  is the expected time a vehicle spends in state C.  $T_{C,t}$  is the travel time from the destination of the passenger to the nearest parking station. When passenger demand is low, it is possible that the parking station nearest the destination of the passenger will be full and there are no parking spaces left. In this case, it is required to send the SAV fleet to the second-nearest parking station, and so on. As a result, we consider a confidence level q, the probability that the nearest parking station is not full. The fleet size in state C in time window t can be expressed as follows:

$$n_{C}^{req}(t) = \lambda_{t} R \left( q T_{C}^{1} + (1-q) \left( q T_{C}^{2} + (1-q)(q T_{C}^{3} + (1-q)(\cdots) \right) \right) \\ \approx \lambda_{t} R \left( p T_{C}^{1} + (1-q) \left( q T_{C}^{2} \right) \right) \\ = \lambda_{t} R \left( q T_{C}^{1} + (1-q) \cdot \alpha q T_{C}^{1} \right) \\ = \lambda_{t} R T_{C}^{1} \left( q + \alpha q - \alpha q^{2} \right)$$
(3.10)

where  $T_{C,t}^i$  refers to the average travel time from the destination of the passenger to the *i*-th nearest parking station and a  $\alpha$  is the incremental ratio of travel time between  $T_{C,t}^2$  and  $T_{C,t}^1$ , which is equivalent to  $\alpha \equiv T_{A,t}^2/T_{A,t}^1$  in Equation 3.7. Based on the assumption of uniformly distributed O-D demands and parking station,  $T_{C,t}^1$  is equal to  $T_{A,t}^1$ .

Finally, the required fleet size in state P,  $n_{P}^{req}(t)$  can be derived by accounting for the variance of the number of 5 idle vehicles parked at each parking station. Variances of vehicles coming into a parking station and vehicles going 6 7 out of a parking station are  $\lambda_{I}RHI/x$  each, where H is the length of the time window, and I is the mean-to-variance ratio of the number of vehicles parked at each parking station. To be more specific,  $\lambda_t RH$  is the mean origin demand 8 and destination demand for the whole area,  $\lambda_r RH/x$  is the mean origin/destination demand for one parking station. 9 We use the mean-to-variance ratio I to calculate the variance as  $\lambda_t RH/x$  (I is assumed to be 1 in the case study 10 by assuming the O-D event follows Poisson distribution). Consequently, the variance of vehicle number at a parking 11 station during a time window is  $2\lambda_t RHI/x$  by assuming that  $\lambda_t RH/x$  is sufficiently large. It is assumed that, by 12 repositioning vehicles, the number of vehicles at each parking station is rebalanced at intervals of duration H. The cost 13 of repositioning is shown to be proportional to  $H^{-1/2}$  but independent of the decision variables x, y, and m considered 14 in this paper (See Section 7.2.2.1 in Daganzo and Ouyang (2019b)). Thus, the repositioning cost is omitted from the 15 cost-minimizing objective function because the repositioning cost is constant if H is assumed to be given. 16

<sup>17</sup> When passenger demand is high, the fleet size of idle vehicles at each parking station will be at a minimum be-<sup>18</sup> cause most vehicles will be on the road serving passenger demand. In such circumstances, there must be at least a <sup>19</sup> certain number of parked vehicles to guarantee the level-of-service, preventing long waiting times for passengers and <sup>20</sup> assignment failures. We have already set a confidence level *p* to ensure vehicle assignment from the nearest parking <sup>21</sup> station in Equation 3.7. Using *p*, the required parking spaces per parking station  $z^{req}(t)$  is formulated as follows:

$$z^{req}(t) = \Phi^{-1}(p)\sqrt{\frac{2\lambda_t RHI}{x}},\tag{3.11}$$

where  $\Phi$  is the standard normal distribution. The required density of parking spaces in the target region at demand  $\lambda_t$  is as follows:

$$y^{req}(t) = \frac{x \cdot z^{req}(t)}{R} = \Phi^{-1}(p)\sqrt{\frac{2\lambda_t H I x}{R}}.$$
(3.12)

As a result, the required fleet size in state P is formulated as:

$$n_{P}^{req}(t) = y^{req}(t)R = \Phi^{-1}(p)\sqrt{2\lambda_{t}RHIx}.$$
 (3.13)

Finally, the density of parking station x can be expressed with respect to  $T_{A,t}^1$ . If the parking stations are uniformly distributed, the expected value of the distance between random demand to the nearest parking station, denoted by d(x), is:

$$E[d(x)] = T^{1}_{A,t} v$$

$$E[d(x)] \approx \frac{\kappa}{\sqrt{x}},$$
(3.14)

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where the first expression is given by the definition of  $T_{A,t}$  and the second expression is referenced from Daganzo and Ouyang (2019b). As a result,  $T_{A_t}^1$  is expressed as a function of the decision variable x and vice versa: As a result,

$$T_{A,t}^{1} = \frac{\kappa}{v_{t}\sqrt{x}},$$

$$x = \frac{\kappa^{2}}{(v_{t})^{2}} \cdot \frac{1}{(T_{A,t}^{1})^{2}}$$
(3.15)

According to Equations 3.6, 3.8, 3.9, and 3.13, the number of fleets in each state increases as the passenger demand 1  $(\lambda_t)$  increases. We reasonably assume that the average ground speed at the maximum demand,  $v_{t_{\lambda_{max}}}$  is the slowest of > the day, i.e.,  $v_{t_{\lambda_{max}}} = v_{min}$ . Similarly, we assume that the average ground speed at the minimum demand,  $v_{t_{\lambda_{min}}}$  is the 3 fastest of the day, i.e.,  $v_{t_{\lambda_{min}}} = v_{max}$ . Thus, the numbers of vehicles in states A, S, C, and P are the highest during 4

- the peak time: Б

$$\begin{pmatrix} n_{A}^{req}(t_{\lambda_{max}}) = \\ \end{pmatrix} \lambda_{max} \frac{\kappa R}{v_{min}\sqrt{x}} (p + \alpha p - \alpha p^{2}) \ge \lambda_{t} \frac{\kappa R}{v_{t}\sqrt{x}} (p + \alpha p - \alpha p^{2}) \left( = n_{A}^{req}(t) \right),$$

$$\begin{pmatrix} n_{S}^{req}(t_{\lambda_{max}}) = \\ \end{pmatrix} \lambda_{max} \frac{l_{t_{\lambda_{max}}}}{v_{min}} \ge \lambda_{t} \frac{l_{t}}{v_{t}} \left( = n_{S}^{req}(t) \right),$$

$$\begin{pmatrix} n_{C}^{req}(t_{\lambda_{max}}) = \\ \end{pmatrix} \lambda_{max} \frac{\kappa R}{v_{min}\sqrt{x}} (q + \alpha q - \alpha q^{2}) \ge \lambda_{t} \frac{\kappa R}{v_{t}\sqrt{x}} (q + \alpha q - \alpha q^{2}) \left( = n_{C}^{req}(t) \right),$$

$$\begin{pmatrix} n_{P}^{req}(t_{\lambda_{max}}) = \\ \end{pmatrix} \Phi^{-1}(p)\sqrt{2\lambda_{max}RHIx} \ge \lambda_{t} \Phi^{-1}(p)\sqrt{2\lambda_{t}RHIx} \left( = n_{P}^{req}(t) \right), \forall t.$$

$$(3.16)$$

Therefore, the minimum required fleet size  $m^*$  equals the required fleet size in  $t_{\lambda_{max}}$  according to Equation 3.5, i.e., 6  $\arg\max_t\left(m^{req}(t)\right) = t_{\lambda_{max}}$ 7

When the demand rate is the lowest in  $t_{\lambda_{min}}$ , the highest number of idle vehicles are parked, so the confidence level 8 p (the probability that there is at least one idle vehicle at the station nearest to a demand) can be set to 1 for further 9 efficiency. On the other hand, during the peak hour  $t_{\lambda_{max}}$ , the confidence level q can be reasonably assumed to be 1 in 10 the time window  $t_{\lambda_{max}}$ . Based on this, it is possible to simplify and rewrite the equations of  $m^*$  by using the maximum 11 passenger demand  $(\lambda_{max})$ , as follows: 12

$$m^* = \lambda_{max} R\left(\frac{l_{t_{\lambda_{max}}}}{v_{min}}\right) + \lambda_{max} RT^1_{A, t_{\lambda_{max}}}(1 + p + \alpha p - \alpha p^2) + \kappa \Phi^{-1}(p) \sqrt{2\lambda_{max} RHI} \frac{1}{v_{min} T^1_{A, t_{\lambda_{max}}}} \quad , \qquad (3.17)$$

Based on the operation depicted in Figure 1, extra vehicles beyond the required fleet sizes for states A, S, and C 13 are not needed. In other words: 14

$$n_A(t) = n_A^{req}(t), n_S(t) = n_S^{req}(t), n_C(t) = n_C^{req}(t), \forall t,$$
(3.18)

On the other hand, as shown in Figure 3, the number of vehicles parked at parking stations in t,  $n_P(t)$ , is not always 15 the same as  $n_{P}^{req}(t)$ , but can be found as Equation 3.19. 16

$$n_P(t) = m^* - \left(n_A(t) + n_S(t) + n_C(t)\right),\tag{3.19}$$

The number of vehicles not parked in stations,  $n_A(t) + n_S(t) + n_C(t)$ , is the lowest in  $t_{\lambda_{min}}$ , so the number of parked 17 vehicles is the highest in  $t_{\lambda_{min}}$ . The minimum required number of parking spaces  $y^*R$  is the summation of the daily 18

- maximum number of required parking spaces, i.e.,  $n_P(t_{\lambda_{min}})$ , and additional buffer spaces to guarantee that each parking
- station is not full by confidence level q,  $\Phi^{-1}(q)\sqrt{2\lambda_{min}RHIx}$ . As a result, the optimal density of parking spaces can be found as shown in Equation 3.20

 $y^{*} = \frac{m^{*} - \left(n_{A}(t_{\lambda_{min}}) + n_{S}(t_{\lambda_{min}}) + n_{C}(t_{\lambda_{min}})\right) + \Phi^{-1}(q)\sqrt{2\lambda_{min}RHIx}}{R}$   $= \left(\frac{\lambda_{max}l_{t_{\lambda_{max}}}}{v_{min}} - \frac{\lambda_{min}l_{t_{\lambda_{min}}}}{v_{max}}\right)$   $+ \left((1 + p + \alpha p - \alpha p^{2})\lambda_{max} - (1 + q + \alpha q - \alpha q^{2})\lambda_{min} \cdot \frac{v_{min}}{v_{max}}\right)T_{A,t_{\lambda_{max}}}^{1}$   $+ \frac{\kappa}{v_{min}}\left(\Phi^{-1}(p)\sqrt{\frac{2\lambda_{max}HI}{R}} + \frac{v_{min}}{v_{max}}\Phi^{-1}(q)\sqrt{\frac{2\lambda_{min}HI}{R}}\right)\left(\frac{1}{T_{A,t_{\lambda_{max}}}^{1}}\right)$ (3.20)

## **3.3. Solution of Optimal Parking Planning**

- The objective function in Equation 3.2 is reformulated in terms of  $T^1_{A,t_{\lambda_{max}}}$  in Equation 3.21, which is a function of
- the remaining sole decision variable x (see  $T_{A,t}^1 = \frac{\kappa}{v_t \sqrt{x}}$  from Equation 3.15). There are four terms in Equation 3.21:

the constant term, 
$$\frac{1}{(T_{A_{J_{\lambda_{max}}}}^{1})^{2}}, \frac{1}{T_{A_{J_{\lambda_{max}}}}^{1}}, \text{ and } T_{A,t_{\lambda_{max}}}^{1}.$$

$$\begin{pmatrix} \left( \left( C_{y} + C_{m} \right) \left( \frac{\lambda_{max} l_{t_{\lambda_{max}}}}{v_{min}} \right) R - C_{y} \left( \frac{\lambda_{min} l_{t_{\lambda_{min}}}}{v_{max}} \right) R \right) \\ + \frac{C_{x} \kappa^{2} R}{(v_{min})^{2}} \frac{1}{(T_{A,t_{\lambda_{max}}}^{1})^{2}} \\ + \left( \frac{\kappa(C_{y} + C_{m})}{v_{min}} \Phi^{-1}(p) \sqrt{2\lambda_{max} HIR} + \frac{\kappa C_{y}}{v_{max}} \Phi^{-1}(q) \sqrt{2\lambda_{min} HIR} \right) \frac{1}{T_{A,t_{\lambda_{max}}}^{1}} \\ + \left( (C_{y} + C_{m})\lambda_{max} R(1 + p + \alpha p - \alpha p^{2}) - C_{y}\lambda_{min} R(1 + q + \alpha q - \alpha q^{2}) \frac{v_{min}}{v_{max}} \right) T_{A,t_{\lambda_{max}}}^{1} \\ s.t.T_{A,t_{\lambda_{max}}} = T_{A,t_{\lambda_{max}}}^{1}(1 + p + \alpha p - \alpha p^{2}) \leq T_{0}, \end{cases}$$
(3.21)

<sup>8</sup> For simplicity of derivation, each coefficient in Equation 3.21 are parameterized as  $P_0$ ,  $P_{-2}$ ,  $P_{-1}$ , and  $P_1$  as shown

• in Equation 3.22.

7

$$\min_{T_{A,t_{\lambda_{max}}}^{1}} Cost\left(T_{A,t_{\lambda_{max}}}^{1}\right) = \min_{T_{A,t_{\lambda_{max}}}^{1}} \left(P_{0} + P_{-2} \frac{1}{(T_{A,t_{\lambda_{max}}}^{1})^{2}} + P_{-1} \frac{1}{T_{A,t_{\lambda_{max}}}^{1}} + P_{1} T_{A,t_{\lambda_{max}}}^{1}\right),$$
(3.22)

Since  $\lambda_{max} > \lambda_{min}$  and all parameters are positive by definition,  $P_0, P_{-2}, P_{-1}, P_1 > 0$ . Therefore, Equation 3.22 is a convex curve in the first quadrant, and has a local minimum point in the first quadrant. Consequently, we take the <sup>1</sup> derivative of Equation 3.22 to find the unconstrained optimal point.

$$\frac{dCost\left(T_{A,t_{\lambda_{max}}}^{1}\right)}{dT_{A,t_{\lambda_{max}}}^{1}} = -2P_{-2}(T_{A,t_{\lambda_{max}}}^{1})^{-3} - P_{-1}(T_{A,t_{\lambda_{max}}}^{1})^{-2} + P_{1} = 0$$

$$-2P_{-2} - P_{-1}\left(T_{A,t_{\lambda_{max}}}^{1}\right) + P_{1}\left(T_{A,t_{\lambda_{max}}}^{1}\right)^{3} = 0 , \qquad (3.23)$$

$$\left(T_{A,t_{\lambda_{max}}}^{1}\right)^{3} - \frac{P_{-1}}{P_{1}}T_{A,t_{\lambda_{max}}}^{1} - \frac{2P_{-2}}{P_{1}} = 0$$

For simplicity in derivation, let  $A = \left(-\frac{P_{-1}}{P_1}\right)$  and  $B = \left(-\frac{2P_{-2}}{P_1}\right)$ . With realistic ranges of parameters, the discriminant ( $\Delta$ ) of the cubic equation is positive, and there are three distinct real roots as shown in Equation 3.24:

$$\Delta = -\left(4A^3 + 27B^2\right) > 0,\tag{3.24}$$

When there are three real roots in cubic equation, François Viète (1540-1603) derived the trigonometric solution. The three real roots  $(t_k)$  can be calculated as shown in Equation 3.25:

 $t^{3} + pt + q = 0$  $t_{k} = 2\sqrt{-\frac{p}{3}}\cos\left(\frac{1}{3}\arccos\left(\frac{3q}{2p}\sqrt{-\frac{3}{p}}\right) - k\frac{2\pi}{3}\right) \quad \text{for} \quad k = 0, 1, 2$ (3.25)

• The only positive solution is when k = 0. As a result,

$$T_{A,t_{\lambda_{max}}}^{1,u} = 2\sqrt{-\frac{A}{3}}\cos\left(\frac{1}{3}\arccos\left(\frac{3B}{2A}\sqrt{\frac{-3}{A}}\right)\right) = 2\sqrt{\frac{P_{-1}}{3P_{1}}}\cos\left(\frac{1}{3}\arccos\left(\frac{6P_{-2}}{2P_{-1}}\sqrt{\frac{3P_{1}}{P_{-1}}}\right)\right).$$
(3.26)

Equation 3.26 is the unconstrained minimum of Equation 3.21. Thus, if  $T_0 > (p + \alpha p - \alpha p^2) T_{A,t_{\lambda_{max}}}^{1,u}$ , the constraint is not binding since  $T_{A,t_{\lambda_{max}}} \ge T_{A,t}$ , so that the optimal point  $T_{A,t_{\lambda_{max}}}^{1,*} = T_{A,t_{\lambda_{max}}}^{1,u}$  and  $Cost^* = Cost \left(T_{A,t_{\lambda_{max}}}^{1,u}\right)$ . On the other hand, if  $T_0 \le (p + \alpha p - \alpha p^2) T_{A,t_{\lambda_{max}}}^{1,u}$ , the constraint is binding, so that the optimal point  $T_{A,t_{\lambda_{max}}}^{1,*} = \frac{T_0}{(p + \alpha p - \alpha p^2)}$  and  $Cost^* = Cost \left(\frac{T_0}{(p + \alpha p - \alpha p^2)}\right)$ :

$$T_{A,t_{\lambda_{max}}}^{1,*} = \begin{cases} T_{A,t_{\lambda_{max}}}^{1,u}, & \text{if } T_0 > (1+p+\alpha p - \alpha p^2) T_{A,t_{\lambda_{max}}}^{1,u}, \\ \\ \frac{T_0}{(1+p+\alpha p - \alpha p^2)}, & \text{otherwise} \end{cases}$$
(3.27)

Then, based on the optimal decision variable  $(T_{A,t_{\lambda_{max}}}^{1,*})$ , we can calculate three parking operational variables  $(x, m, x_{\lambda_{\lambda_{max}}})$  and y) by using Equation 3.15, Equation 3.17, and Equation 3.20, respectively. Since we have the analytical form of the solution, we can calculate parking operational variables in one shot.



Variable	Units	Value
R	[km <sup>2</sup> ]	605.24
l	[km]	16.4
$v_{min}$	[km/hr]	18.0
v <sub>max</sub>	[km/hr]	40.0
Н	[hr]	2
р	-	0.95
q	-	0.95
α	-	2
Ι	-	1
к	-	0.5
$T_0$	[min]	1

# 1 3.4. Discussion on the Integration of Dynamic Ride-sharing

While the proposed models in this study did not incorporate pooling or dynamic ride-sharing (DRS), it is worth 2 mentioning that the models we have developed can be extended to include these considerations. Pooling, which en-3 tails combining multiple passengers with similar routes into a single trip, is another important component in Shared 4 Autonomous Vehicle (SAV) parking planning according to several previous studies. Fakhrmoosavi et al. (2022) in-5 vestigated the implications of parking strategies for SAV fleets, particularly in response to the growing demand for 6 ride-hailing services, and emphasized that parking prices could be strategically utilized to encourage riders to opt for 7 shared rides, thereby mitigating traffic congestion related to parking searches. Additionally, Yan et al. (2020) conducted 8 a microsimulation study assessing the performance of SAV fleets in the Minneapolis-Saint Paul area. They analyzed 9 the impact of trip densities and parking restrictions, revealing that SAVs could accommodate up to 30 person-trips 10 per day with a wait time of under 5 minutes. This, however, led to a 13% increase in vehicle-miles traveled (VMT). 11 Interestingly, the incorporation of DRS resulted in a 17% reduction in SAV VMT, underscoring the significance of 12 DRS in curbing the VMT. 13 Responding to the necessity for the incorporation of pooling or DRS in parking planning for SAVs, our model 14 is designed to be adaptable, serving as a foundational structure to which pooling or DRS elements can be appended. 15 The modularity of our model facilitates seamless integration with other frameworks, thereby effectively addressing the 16 challenges of incorporating pooling or DRS. While the proposed models in this paper do not directly address DRS, 17

they are compatible with and can be complemented by the framework proposed by Daganzo and Ouyang (2019a), which delves into the DRS integration.

The integration of the parking planning models and DRS is beyond the scope of this paper. Nonetheless, in Appendix B, we briefly illustrate how a simple integration between the Single-Zone Analytical Parking Planning Model, and the "Shared Taxi" modeling presented in Section 5 of Daganzo and Ouyang (2019a), can be achieved. This integration provides initial insights into the interaction between parking planning and dynamic ride-sharing.

# 24 4. Case Study for Single-Zone Analytical Parking Planning Model

In this section, we demonstrate S-APPM through a case study in Seoul, South Korea. Specifically, we will discuss changes in the density of parking stations and parking spaces as well as fleet size required to serve passenger demand when system is optimized. The demand distribution in Seoul is not spatially uniform, but the results, assuming uniformity, can give an upper bound of system efficiency, which is useful at the beginning of high-level planning. The next model, T-APPM, which will be elaborated in Section 5, can be easily extended to a general multi-zonal framework. To realistically account for the spatial demand heterogeneity with zonal-specific parking planning, advanced models can be used instead of S-APPM to provide the upper bound of the reality.

Table 1 shows the parameters used in this case study. The area of Seoul is approximately 605.2km<sup>2</sup>. According to

Corresponding decision variables in the current transportation system in Seoul

_	Variable	Unit	Value
	x <sub>Seoul</sub>	[stations/km <sup>2</sup> ]	524.06
	$y_{Seoul}$	[spaces/km <sup>2</sup> ]	7,150.24
	Z <sub>Seoul</sub>	[spaces/stations]	13.64
	m <sub>Seoul</sub>	[veh]	2,703,429

#### Table 3

Hourly average passenger demand in Seoul. The values are in  $[veh/km^2/hr]$ 

Time Window	Passenger Demand by	Passenger Demand by	
	Personal Vehicle	All Modes	
Overall	285.11	1720.03	
AM peak (7-9 AM)	765.04	4518.16	
PM peak (6-8 PM)	836.94	4042.69	
Off peak	181.93	1207.95	

the Seoul Travel Survey<sup>1</sup>, the average trip length is 16.4km, and the minimum and maximum speeds are 18.0km/hr and 40.0km/hr, respectively. We consider discrete time windows, each of which has a length of two hours. Both

 $_{3}$  confidence levels, to guarantee that there is at least one vehicle at each parking station (p) and that there is at least one

parking space left at each parking station (q), are set at 0.95. We assume  $\alpha$ , the incremental ratio of travel time, to

<sup>4</sup> backing space for a cach parking station (q), are set at 0.95. We assume a, the information that of traver line, to <sup>5</sup> be 2 based on the assumption that the target area is homogeneous. Finding the second-nearest parking station would

<sup>6</sup> be, at most, equivalent to finding the nearest parking station first and then finding the second-nearest parking station

<sup>7</sup> from there. This means that the incremental ratio between the travel time to the nearest parking station and the second-

<sup>8</sup> nearest parking station would be less than or equal to 2. To consider the most pessimistic case, we assume  $\alpha = 2$  to <sup>9</sup> ensure that the results would provide a conservative estimate. The mean-to-variance ratio (I) is set to 1 assuming that <sup>10</sup> occurrences of O-D events follow a Poisson distribution. Finally,  $\kappa$  is set to 0.5 referenced from Daganzo and Ouyang <sup>11</sup> (2019b).

It is worth investigating actual numbers for each decision variable in Seoul. According to the statistics on parking infrastructures in Seoul<sup>2</sup> in 2021, there are 317,181 parking stations and 4,327,614 parking spaces, including public parking stations, private parking stations, and residential parking stations. Therefore, as shown in Table 2, the density of parking stations in Seoul is 524.09 stations/km<sup>2</sup>, and the density of parking spaces in Seoul is 7150.72 spaces/km<sup>2</sup>. There are approximately 13.64 parking spaces at each parking station. Moreover, there are 3,157,361 registered passenger vehicles in Seoul, which means that there are 0.625 parking spaces for each vehicle.

Table 3 shows the average hourly unit passenger demand (in [veh/km<sup>2</sup>/hr]) in Seoul according to the National 18 Household Travel Survey (O-D Flow Survey) in Korea. This survey offers the average hourly flow from one district to 19 another, classified by mode of transportation and purpose of trip. The mode of transportation in this survey includes 20 passenger vehicles, buses, subways, high-speed rail, walking, and bicycles; we extracted passenger demand by personal 21 vehicle and passenger demand by all modes in the different time windows. Table 3 shows the corresponding values in 22 each time window. The values in the total row represent the average passenger demand during any time-of-day. The 23 values in the Overall row represent the average passenger demand throughout the day regardless of time-of-day. The 24 values in the AM peak row represent the average passenger demand during the morning peak (7-9 AM); the values in 25 PM peak row represent the average passenger demand during the afternoon peak (6-8 PM). In this study, we assume 26 that passenger demand in time windows other than AM and PM peaks is equal to off-peak passenger demand. As 27 a result, the values in the Off-peak row were calculated based on the values in the Overall, AM peak, and PM peak 28

<sup>1</sup>https://www.ktdb.go.kr/eng/index.do

<sup>2</sup>https://news.seoul.go.kr/traffic/archives/314

Range of cost variables used in the sensitivity analysis.

Cost	Value	Reference
$C_m$	[30, 31, ··· , <b>35.616</b> , ··· , <b>183.36</b> , ··· , 200]	Estrada et al. (2021)
$C_x$	$[0.1, 0.2, \cdots, 4.9, 5.0]$	-
$C_y$	[0.1, 0, 2, ··· , <b>4.73</b> , ··· , 20]	Korean Ministry of Land, Infrastructure, and Transport

rows (i.e., we calculate the total demand for one day and subtract the AM peak and PM peak demands to get the Off-1 Recent studies have shown that the demand for SAVs is expected to increase over time (Jones and peak demand). > Leibowicz, 2019; Narayanan et al., 2020a). In our model, we consider two demand types: "Passenger Demand by 3 Personal Vehicle" and "Passenger Demand by All Modes." The primary purpose of this distinction is to emphasize Δ the potential reduction in required parking spaces when comparing traditional vehicle usage to a fully implemented SAV system. While we acknowledge that the demand for SAVs will grow gradually over time, it is crucial for urban 6 planning to look ahead and prepare for the long-term impact of such systems. By considering both demand types, 7 our model highlights the potential benefits of SAV deployment in reducing parking requirements and underscores the 8 importance of forward-thinking planning strategies to accommodate the anticipated growth in SAV demand. 9

In this case study, we analyze the results of our proposed model depending on different values for three operational 10 costs:  $C_m$ ,  $C_x$ , and  $C_y$ . The values and the references used for the sensitivity analysis are shown in Table 4. The daily 11 operation cost of each SAV fleet  $(C_m)$  is referenced from Estrada et al. (2021), which analyzed the operational cost 12 of the on-demand bus and taxi service, presenting the operational cost for different types of the powertrain (diesel 13 and electric) and different sizes of vehicle (standard bus, mini-bus, and passenger car). In this study, we assume that 14 each vehicle serves one passenger demand for one operation and SAVs use an electric powertrain; we use the *electric* 15 passenger car as a reference vehicle for our study. In Estrada et al. (2021) there are two reference values without 16 (35.616) and with (183.36) driver expenses. The value without driver expenses does not necessarily represent the cost 17 of SAV fleets. Instead, we used it as a benchmark value while setting the range of  $C_m$ . Based on previous literature, 18 such as Pakusch et al. (2020), labor costs usually take around 55% to 65% of the total operating cost of human-operated 19 taxis. If human drivers are replaced by automated driving, the total operating cost of mobility service is expected to 20 be reduced. However, we cannot make any reasonable assumptions about how the cost will be for SAV fleets. As 21 a result, we set the range of  $C_m$  from 30 to 200 \$/veh/day. The daily operation cost of the parking station ( $C_x$ ) 22 includes costs, such as amortized installation cost of the parking management system in the parking station. The daily 23 operation cost of parking spaces  $(C_v)$  includes the amortized cost of purchasing the land used for the parking spaces 24 and the construction cost for parking spaces. There are not many references to support our reasoning for choosing 25 an appropriate value for  $C_x$ , but  $C_x$  must be smaller than or similar to  $C_y$  because the installation cost of the parking 26 management system is not expensive compared to the overall land price for the parking spaces. We referenced one 27 value for  $C_{v}$  from the *declared land value* announced by the Korean Ministry of Land, Infrastructure, and Transport. 28 The average land price in Seoul is 2490.35  $/m^2$ , and the average area of each parking space is 3.3 m<sup>2</sup>. We assume 29 that the land price is equivalent to a five-year rental cost with 2% annual interest rate. As a result, the amortized daily 30

cost for each parking space is  $\left(\frac{2490.35 \cdot 3.3 \cdot \frac{0.02}{365}}{1 - \left(1 + \frac{0.02}{365}\right)^{-365 \cdot 5}}\right) = 4.73$  = 4.73 / *spaces/day* considering only the land price. We set the

range of  $C_y$  from 0.1 to 20 \$/spaces/day for the sensitivity analysis Finally, since  $C_x$  should be similar to or less than  $C_y$ , we set the range of  $C_x$  from 0.0 to 5.0 \$/stations/day.

Figure 4 and Figure 5 show the results of sensitivity analysis. The passenger demand by personal vehicle in Table 3 is used for the sensitivity analysis. Figure 4 shows the result with different  $C_m$  and  $C_y$  when  $C_x$  is fixed to 2\$/stations/day. Figure 5 shows the result with different  $C_x$  and  $C_y$  when  $C_m$  is fixed to 35.616\$/veh/day. There are six results of different variables in each figure: Total cost in million USD (*Cost*), Passenger waiting time  $(T_A = T_{A,t_{\lambda_{max}}}^1 (p + \alpha p - \alpha p^2))$  in minutes, the number of SAV fleets (*m*), density of parking stations (*x*), density of parking spaces (*y*), and the average number of parking spaces in each parking station (*z*).

Figure 4 (a) shows that the total cost significantly drops as  $C_m$  decreases. This result shows that replacing humandriven taxis with SAVs will significantly improve cost-efficiency. In Figure 4 (b), when  $C_m$  is fixed, there is an increas-

Summary of results of Case Study for S-APPM

Demand	Variable		Current	Optimal	
	x	[stations/km <sup>2</sup> ]	524.09	11.66	(-97.75%)
	у	[spaces/km <sup>2</sup> ]	7,150.72	718.22	(-89.96%)
Porconal Vahiela	Z.	[spaces/station]	13.64	61.59	(+351.54%)
reisonal venicie	m	[veh]	2,703,429	477,944.71	(-82.32%)
	yR/m	[spaces/veh]	1.601	0.9095	(-43.19%)
	x	[stations/km <sup>2</sup> ]	524.09	27.51	(-94.75%)
	у	[spaces/km <sup>2</sup> ]	7,150.72	3728.66	(-47.86%)
All Mode	z	[spaces/station]	13.64	135.52	(+893.55%)
All Mode	m	[veh]	2,703,429	2,549,647.66	(-5.69%)
	yR/m	[spaces/veh]	1.601	0.8851	(-44.72%)

ing tendency in  $T_A$  as  $C_y$  increases. On the other hand, there is a decreasing tendency in  $T_A$  as  $C_m$  increases when  $C_y$ 1

2

is fixed. Within the realistic range of each cost value, the unconstrained minimum of Equation 3.22  $(T_{A,t_{\lambda_{max}}}^{1,u})$  is not bounded by the constraint,  $T_0 \leq (p + \alpha p - \alpha p^2)T_{A,t_{\lambda_{max}}}^{1,u}$ , so the optimal value for  $T_{A,t_{\lambda_{max}}}^1$  is equal to  $T_{A,t_{\lambda_{max}}}^{1,u}$ . As a 3 result, three operational variables can be calculated from the derived Equations in Section 3.2. 4

The number of SAV fleets (m) is calculated based on Equation 3.17; the results are shown in Figure 4 (c). Similar 5 to  $T_A$ , there is an increasing tendency in m as  $C_v$  increases and there is a decreasing tendency in m as  $C_m$  increases. 6 Furthermore, the density of parking spaces (x) is proportional to the square of reciprocal of  $T_A$  as shown in Equation 7 3.15. As a result, there is a decreasing tendency in x as  $C_y$  increases and there is an increasing tendency in x as  $C_m$ 8 increases as shown in Figure 4 (d). Within the range of  $T_A$  shown in Figure 4 (a), the  $T_A$  term in Equation 3.20 is 9 relatively smaller than  $\frac{1}{T_{t}}$  term. As a result, y has a tendency opposite to  $T_{A}$  as shown in Figure 4 (e). 10

Figure 5 (a) shows the change in total cost with different values of  $C_x$  and  $C_y$ ; the overall cost decreases as  $C_y$ 11 decreases. In Figure 5 (b), when  $C_x$  is fixed, there is an increasing tendency in  $T_A$  as  $C_y$  increases. Similarly, as  $C_x$ 12 increases, there is an increasing tendency in  $T_A$ . When the cost of parking facilities is low, it is relatively cost-efficient 13 to install more parking stations and parking spaces. The passenger waiting time consequently decreases as the number 14 of parking stations increases. Similar to the previous results in Figure 4, m follows a similar tendency with  $T_A$ , while 15 x and y follow a tendency opposite to that of  $T_A$ . 16

Table 5 shows a summary of the results for different levels of demand. The upper part of the table shows the results 17 when SAVs serve current passenger demand for personal vehicles; the lower part shows the results when SAVs serve 18 passenger demand for all modes, including private vehicles and public transportation. To derive these results, we used 19  $C_m = 35.616$  to show that all vehicles are autonomous vehicles without human drivers, and  $C_v = 4.73$  to represent the 20 land price in Seoul.  $C_x = 2$  was arbitrarily chosen. 21

The values in the column named "Current" show the values corresponding to the current transportation system in 22 Seoul; the values in the column marked "Optimal" show results of S-APPM when the SAV system replaces the current 23 transportation system. The results show that it is possible to significantly decrease the number of parking stations, 24 parking spaces, and vehicles by introducing the SAV system. The density of parking stations decreases 97.75% and 25 the density of parking spaces for personal vehicle demand decreases 89.96%. It is notable that the average number of 26 parking spaces at each parking station (z) increases from 13.64 to 61.59. The current system in Seoul has fewer parking 27 spaces in one parking station and the parking stations are dense. However, the optimal solution for the SAV system 28 suggests that sparse parking stations with more parking spaces at each station will be more cost-efficient. The fleet 29 size (m) also significantly decreases. Approximately 5.66 personal vehicles can be replaced with one SAV. Finally, the 30 number of parking spaces for each vehicle decreases from 1.601 to 0.9095. There is less than one parking space for one 31 vehicle in the optimal solution. This means that at least 9.05% of vehicles are out on the road serving passengers. This 32 is because of the demand setting. The passenger demand at off-peak is assumed to be the same at any time window 33 other than those at AM-peak and PM-peak. With better data on passenger demand, this result can realistically be 34 improved. 35

Optimal Planning of Parking Infrastructure and Fleet Size for Shared Autonomous Vehicles

- 1 When passenger demand increases to the demand by all mode, as shown in Table 5, all three operational variables
- <sup>2</sup> increase corresponding to the increase in demand. Although the density of parking stations increased, the density of
- <sup>3</sup> parking spaces increased more significantly. As a result, the average number of parking spaces at each parking station
- (z) increased too. This again shows that sparse parking stations with more parking spaces at each station will be more
- ₅ cost-efficient.



Figure 4: Result of the sensitivity analysis between  $C_m$  and  $C_y$ , when  $C_x = 2$ /stations/day



Figure 5: Results of sensitivity analysis between  $C_x$  and  $C_y$ , when  $C_m = 35.616\$/spaces/day$ 



Figure 6: Workload representation of Two-zone Analytical Parking Planning Model (T-APPM)

# <sup>1</sup> 5. Two-Zone Analytical Parking Planning Model

In this section, we model the Two-zone Analytical Parking Planning Model (T-APPM) by extending S-APPM, as 2 shown in Figure 6. Since T-APPM considers the target region as two distinguishable zones, the model must consider 3 inter-zonal movements. First, the origin and destination of passenger trips can be located in different zones. The red 4 lines in Figure 6 indicate passenger trips from one zone to another. Second, it is necessary to consider relocation 5 of SAVs. When the passenger demand is higher in one direction than the opposite, the number of SAV fleets (both 6 parked and running) in one zone will continuously increase. For example, in the morning peak, passenger demand 7 from suburb to city center will be much higher than passenger demand from city center to suburb. When this demand 8 pattern continues, there will be more vehicles in the city center and fewer vehicles in the suburb, causing a lack of a parking spaces in the city center and a decrease in level-of-service in the suburb by increasing the passenger waiting 10 time. As a result, a proper relocation strategy for SAVs should be considered. The blue lines in Figure 6 describes the 11 flow of vehicles that are relocated to the other zone after finishing passenger trips. 12

Figure 7 shows an example of T-APPM. A passenger calls for a trip from a suburb (colored in green) to the city center (colored in orange). The black line in Figure 7 (b) represents the movement of the assigned vehicle from the parking station to the passenger's origin. This corresponds to the state transition from P to A and the state transition from A to S in S-APPM of the suburb zone. The red line in Figure 7 (b) represents the passenger trip from the suburb to the city center, which corresponds to the state transition from S in the suburb to C in the city center. Then, this



Figure 7: Example case of T-APPM. Black line represents the vehicle traveling from the parking station to passenger's origin, red line represents the passenger trip, and blue line represents the relocation of the vehicle.

vehicle is relocated to the suburb, which is represented by the blue line in Figure 7 (b). This corresponds to the state ransition from C in the city center to R (relocation state), and the state transition from R to P in the suburb.

<sup>3</sup> Consider a given target region divided into two separate zones, as shown in Figure 7 (b). The inner zone (zone 1)

<sup>4</sup> colored in orange has a size of  $R_1$ ; the outer zone (zone 2), colored in green has a size of  $R_2$ . In zone 1, the density of

parking stations is denoted as  $x_1$ , and the density of parking spaces is denoted as  $y_1$ . Likewise, the density of parking

stations in zone 2 is denoted as  $x_2$  and the density of parking stations in zone 2 is denoted as  $y_2$ . The total number

 $\tau$  of SAV fleets is denoted as  $M = m_1 + m_2$ , where  $m_1$  and  $m_2$  represents the number of SAV fleets in zone 1 and zone

<sup>8</sup> 2, respectively. Similar to the assumptions in S-APPM, when passenger demand is generated, an SAV in the nearest <sup>9</sup> not-empty parking station is assigned to the passenger  $(P \rightarrow A)$ . This SAV cruises to the origin of the passenger <sup>10</sup> and picks up the passenger  $(A \rightarrow S)$ . The SAV travels from the origin to the destination and drops off the passenger <sup>11</sup>  $(S \rightarrow C)$ . If the SAV is relocated to a different zone  $(C \rightarrow R)$ , the vehicle moves to the nearest parking station in the <sup>12</sup> relocated zone  $(R \rightarrow P)$ . Otherwise, the SAV moves to a parking station in the same zone from the destination of the <sup>13</sup> passenger  $(C \rightarrow P)$ 

# 14 5.1. Objective Function

The objective function of T-APPM is to minimize the total operation cost in the target regions: i) parking station operation costs in both zones, ii) parking space operation costs in both zones, and iii) fleet operation costs. The parking station operation cost  $(C_x)$  and fleet operation cost  $(C_m)$  are not different across two zones. However, the parking space operation cost  $(C_y)$  can be different across two zones because it includes the land cost. As a result, we assume that two zones have different cost values for  $C_y$ , but they have the same cost values for  $C_x$  and  $C_m$ . The objective function J is to minimize overall daily average operation cost (Cost) formulated as a function of the planning variables  $(x_1, x_2, y_1, y_2, M)$  with respect to LOS constraint:

$$J = \min_{x_1, x_2, y_1, y_2, M} Cost(x_1, x_2, y_1, y_2, M) = \min_{x_1, x_2, y_1, y_2, M} \left( C_x(x_1R_1 + x_2R_2) + C_{y,1}y_1R_1 + C_{y,2}y_2R_2 + C_mM \right),$$
(5.1)  
s.t. $T_{A,t} \le T_0$ 

where  $C_{y,1}$  is the unit cost for each parking space in zone 1, and  $C_{y,2}$  is the unit cost for each parking space in zone 2.  $x_1$  and  $x_2$  represent the density of parking stations in each zone,  $y_1$  and  $y_2$  represent the density of parking stations in each zone, and M represents the total number of SAV fleets for the operation.  $T_{A,t}$  is the average passenger waiting time in time window indexed by t, and  $T_0$  is the threshold, the maximum allowed average passenger waiting time.

#### **5.2.** SAV Operation Model with Parking and Relocation

For a given time window (*t*), we define a passenger demand matrix as  $\Lambda^t$  as follows:

$$\Lambda_{\mathbf{t}} = \begin{bmatrix} \lambda_{t,11} & \lambda_{t,12} \\ \lambda_{t,21} & \lambda_{t,22} \end{bmatrix},\tag{5.2}$$

<sup>3</sup> where  $\lambda_{t,ij}$  refers to the unit passenger demand from zone *i* to zone *j* in the given time window *t*. It is assumed that the

<sup>4</sup> origin of passenger demand is uniformly distributed in zone *i* and the destination of passenger demand is uniformly

<sup>5</sup> distributed in zone j.

<sup>6</sup> The total number of SAV fleets can be derived by summing the numbers of vehicles at all states. Similar to the

7 derivations in Section 3, we first calculate the "required" number of SAV fleets by summing the required number of

SAV fleets in each state to ensure the level-of-services as follows:

$$M^{req}(t) = m_1^{req}(t) + m_2^{req}(t) = \left( n_{1,A}^{req}(t) + n_{1,S}^{req}(t) + n_{1,C}^{req}(t) + n_{1,P}^{req}(t) + n_{1,R}^{req}(t) \right) ,$$

$$+ \left( n_{2,A}^{req}(t) + n_{2,S}^{req}(t) + n_{2,P}^{req}(t) + n_{2,R}^{req}(t) \right)$$
(5.3)

• where the first part(i) of the subscript of  $n_{i,X}$  refers to the zone, and the second part(X) refers to the state of the vehicle.

For example,  $n_{2,P}$  refers to the number of SAV fleets in "Parked" state in Zone 2. Then, the number of SAV fleets ( $M^*$ ) is the maximum of  $M^{req}$ 

$$M^* = \max(M^{req}(t)) \tag{5.4}$$

The number of SAV in states A, S, C, and P can be calculated based on the derivations from S-APPM. First, we can calculate the number of vehicles in state A as shown in Equation 5.5.

$$n_{1,A}^{req}(t) = (\lambda_{t,11} + \lambda_{t,12})R_1T_{A,t_1}^1(p + \alpha p - \alpha p^2)$$
  

$$n_{2,A}^{req}(t) = (\lambda_{t,22} + \lambda_{t,21})R_2T_{A,t_2}^1(p + \alpha p - \alpha p^2).$$
(5.5)

where  $T_{A,t_1}^1$  and  $T_{A,t_2}^1$  are the average travel time from the nearest parking station to the origin of the passenger of two zones.

Second, the number of SAV in state S can be calculated as shown in Equation 5.6.

$$n_{1,S}^{req}(t) = \lambda_{t,11} R_1 \left( \frac{l_{t,11}}{v_{t,11}} \right) + \lambda_{t,12} R_1 \left( \frac{l_{t,12}}{v_{t,12}} \right)$$

$$n_{2,S}^{req}(t) = \lambda_{t,22} R_2 \left( \frac{l_{t,22}}{v_{t,22}} \right) + \lambda_{t,21} R_2 \left( \frac{l_{t,21}}{v_{t,21}} \right),$$
(5.6)

where  $l_{t,ij}$  is the average trip length from zone *i* to zone *j* in time window *t*, and  $v_{t,ij}$  is the average speed from zone *i* to zone *j* in time window *t*.

Third, the number of SAV fleets in state C can be calculated as shown in Equation 5.7:

$$n_{1,C}^{req}(t) = (\lambda_{t,11}R_1 + \lambda_{t,21}R_2)T_{C,t_1}^1(q + \alpha q - \alpha q^2)$$
  

$$n_{2,C}^{req}(t) = (\lambda_{t,22}R_2 + \lambda_{t,12})R_1T_{C,t_2}^1(q + \alpha q - \alpha q^2).$$
(5.7)

where  $T_{C,t_1}^1 = T_{A,t_1}^1$  and  $T_{C,t_1}^2 = T_{A,t_1}^2$ .



Figure 8: Graphical Illustration of the average flow in each state transition link in different demand condition

Next, the required number of SAV fleets in state *P* can be calculated as shown in Equation 5.8.

$$n_{1,P}^{req}(t) = \Phi^{-1}(p)\sqrt{2(\lambda_{t,11} + \lambda_{t,12})R_1HIx_1},$$

$$n_{2,P}^{req}(t) = \Phi^{-1}(p)\sqrt{2(\lambda_{t,22} + \lambda_{t,21})R_2HIx_2},$$
(5.8)

The formulations for deriving the number of SAV fleets in state R are different depending on the demand condition. If there is more passenger demand from zone 1 to zone 2 than there is from zone 2 to zone 1, there will be an increasing number of SAV fleets in zone 2. As a result, some vehicles should be relocated from zone 2 to zone 1. On the other hand, if there is more passenger demand from zone 2 to zone 1 than there is demand from zone 1 to zone 2, there will be an increasing number of SAV fleets in zone 1. In this case, relocation of vehicles should be from zone 1 to zone 2. Figure 8 shows the average flow in each state transition link in both cases.

<sup>8</sup> As a result, if  $\lambda_{12}R_1 > \lambda_{21}R_2$ ,

$$n_{1,R}^{req}(t) = 0$$

$$n_{2,R}^{req}(t) = (\lambda_{t,12}R_1 - \lambda_{t,21}R_2)T_{R_{21}} = (\lambda_{t,12}R_1 - \lambda_{t,21}R_2)\frac{l_{21}}{v_{t,21}},$$
(5.9)

• and if  $\lambda_{21}R_2 > \lambda_{12}R_1$ ,

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$$n_{1,R}^{req}(t) = (\lambda_{t,21}R_2 - \lambda_{t,12}R_1)T_{R_{12}} = (\lambda_{t,21}R_2 - \lambda_{t,12}R_1)\frac{l_{12}}{v_{t,12}},$$

$$n_{2,P}^{req}(t) = 0$$
(5.10)

where  $T_{R_{ij}}$  refers to the average travel time of vehicles that are being relocated from zone *i* to zone *j*, and  $l_{ij}$  refers to the average trip length of vehicles that are being relocated from zone *i* to zone *j*.

 $n_{1,R}^{req}(t)$  and  $n_{2,R}^{req}(t)$  can have different values depending on passenger demand and parameter settings. Consequently, it is not feasible to find a closed-form solution for the optimal values of the operation variables. Thus, we ran a 1 numerical analysis to find the optimal values. We first defined four time windows that maximized and minimized the

<sup>2</sup> required fleet size at each zone, as follows:

$$t_{1,max} = \arg \max_{t} \left( m_1^{req}(t) \right),$$
  

$$t_{2,max} = \arg \max_{t} \left( m_2^{req}(t) \right),$$
  

$$t_{1,min} = \arg \min_{t} \left( m_1^{req}(t) \right),$$
  

$$t_{2,min} = \arg \min_{t} \left( m_2^{req}(t) \right),$$
  
(5.11)

We assume that the average ground speeds within the same zone in the time windows,  $v_{11,t_{1,max}}$ ,  $v_{22,t_{2,max}}$ ,  $v_{11,t_{1,min}}$ , and  $v_{22,t_{2,min}}$ , are the slowest and fastest of the day in each zone, i.e.,  $v_{11,t_{11,max}} = v_{11,min}$ ,  $v_{22,t_{22,max}} = v_{22,min}$ ,  $v_{11,t_{11,min}} = v_{11,max}$ , and  $v_{22,t_{22,min}} = v_{22,max}$ . Furthermore, we assume that the average speeds between two zones are constant:  $v_{t,12} = v_{12}$  and  $v_{t,21} = v_{21}$ .

Then, we can rewrite the equations of the minimum required fleet size of each zone,  $m_1^*$  and  $m_2^*$  as follows:

$$m_1^* = m_1^{req}(t_{1,max})$$

$$m_2^* = m_2^{req}(t_{2,max})$$
(5.12)

Based on the operation depicted in Figure 7b, extra vehicles on the top of required fleet sizes for states A, S, C,
and R are not needed. In other words:

$$n_{1,A}(t) = n_{1,A}^{req}(t), n_{1,S}(t) = n_{1,S}^{req}(t), n_{1,C}(t) = n_{1,C}^{req}(t), n_{1,R}(t) = n_{1,R}^{req}(t)$$

$$n_{2,A}(t) = n_{2,A}^{req}(t), n_{2,S}(t) = n_{2,S}^{req}(t), n_{2,C}(t) = n_{2,C}^{req}(t), n_{2,R}(t) = n_{2,R}^{req}(t)$$
(5.13)

On the other hand, the number of vehicles parked at parking stations in t,  $n_P(t)$ , is not always the same as  $n_P^{req}(t)$ , but can be found as Equation 5.14:

$$n_{1,P}(t) = m_1^* - \left(n_{1,A}(t) + n_{1,S}(t) + n_{1,C}(t) + n_{1,R}(t)\right)$$
  

$$n_{2,P}(t) = m_2^* - \left(n_{2,A}(t) + n_{2,S}(t) + n_{2,C}(t) + n_{2,R}(t)\right)$$
(5.14)

The number of vehicles not parked in stations in zone *i*,  $n_{i,A}(t) + n_{i,S}(t) + n_{i,C}(t) + n_{i,R}(t)$ , is the lowest in  $t_{i,min}$ , so the number of parked vehicles is the highest in  $t_{i,min}$ . The minimum required number of parking spaces in zone *i*,  $y_i R_i$ , is the summation of the daily maximum number of required parking spaces, i.e.,  $n_P(t_{i,min})$  and additional buffer spaces to guarantee that each parking station is not full by confidence level *q*. As a result, the optimal density of parking spaces can be found as shown in Equation 5.15

$$y_{1}^{*} = \frac{m_{1}^{*} - \left(n_{1,A}(t_{1,min}) + n_{1,S}(t_{1,min}) + n_{1,C}(t_{1,min}) + n_{1,R}(t_{1,min})\right) + \Phi^{-1}(q)\sqrt{2\left(\lambda_{t,11}R_{1} + \lambda_{t,21}R_{2}\right)HIx_{1}}}{R_{1}}$$

$$y_{2}^{*} = \frac{m_{2}^{*} - \left(n_{2,A}(t_{2,min}) + n_{2,S}(t_{2,min}) + n_{2,C}(t_{2,min}) + n_{2,R}(t_{1,min})\right) + \Phi^{-1}(q)\sqrt{2\left(\lambda_{t,22}R_{2} + \lambda_{t,12}R_{1}\right)HIx_{2}}}{R_{2}}$$
(5.15)

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 $x_{1} = \frac{\kappa^{2}}{\left(v_{t_{1,max},11}\right)^{2}} \cdot \frac{1}{\left(T_{A,t_{1,max}}^{1}\right)^{2}},$   $x_{2} = \frac{\kappa^{2}}{\left(v_{t_{2,max},22}\right)^{2}} \cdot \frac{1}{\left(T_{A,t_{2,max}}^{1}\right)^{2}},$ (5.16)

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Figure 9: Map of Seoul Metropolitan Area. The green region represents Seoul city, while the yellow and gray regions represent Gyeonggi-do and Incheon city. Yellow regions are selected as the target region in Gyeonggi-do in this study.

The objective function in Equation 5.1 can be reformulated in terms of  $T_{A,t_{1,max}}^1$  and  $T_{A,t_{2,max}}^1$ . We numerically find the optimal values for  $T_{A,t_{1,max}}^1$  and  $T_{A,t_{2,max}}^1$  that minimizes total operation cost.

# **6.** Case Study for Two-Zone Analytical Parking Planning Model

In this section, we will extend the case study in Section 4 and discuss the findings for T-APPM. The main difference
 between S-APPM and T-APPM is that T-APPM considers inter-region passenger demand as well as the relocation of
 the SAV fleet. We extend the spatial range to the Seoul Metropolitan Area (i.e. Seoul Capital Area, or Sudogwon).

The Seoul Metropolitan Area refers to the metropolitan area near Seoul, including Seoul, Incheon, and Gyeonggi-7 do, located in the northwest part of South Korea. The population of this area is approximately 26 million people, more 8 than half the population of South Korea. Figure 9 provides a map of the Seoul Metropolitan Area. The green region is 9 Seoul city, while the yellow and gray regions are Gyeonggi-do and Incheon city. Gyeonggi-do and Incheon city cover a 10 wide range of regions, as shown in Figure 9. As a result, if we consider the whole area as the target region for our case 11 study, intra-region travel times and inter-region travel times will be too long. It would be inefficient and unrealistic for 12 SAVs to travel long distances for relocation and passenger trips. Therefore, we select sub-regions near Seoul that have 13 a relatively considerable number of passenger trips to Seoul. The selected area is colored in yellow in Figure 9; this 14 region will be referred to as *Gyeonggi* for the rest of this case study. 15

Table 6 shows the average hourly unit passenger demand for our case study according to the Korean National Household Travel Survey (Origin-Destination Flow Survey). Similar to Table 3, the table shows corresponding values for each time window. Unit passenger demand is calculated based on origin. For example, if there were 52,982.71 passengers traveling from Seoul to Gyeonggi, we divided the number of passengers by the area of the origin zone, and the result was 87.54 [ $veh/km^2/hr$ ]. Similar to Table 3, the values in the total row represent average passenger demand during any time-of-day. The values in the *AM peak* row represent average passenger demand during the morning peak (7-9 AM), and the values in the *PM peak* row represent average passenger demand during the afternoon peak (6-8

Hourly average passenger demand in Seoul. The unit of the values is $[veh/km^2/$	in Seoul. The unit of the values is $[veh/km^2/hr]$
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Time	Origin	Destination	Passenger Demand by Personal Vehicle	Passenger Demand by All Mode
	Seoul	Seoul	285.11	1720.03
Overall	Seoul	Gyeonggi	87.54	191.06
Overall	Gyeonggi	Seoul	18.90	40.80
	Gyeonggi	Gyeonggi	79.33	232.69
	Seoul	Seoul	765.04	4518.16
AM peak	Seoul	Gyeonggi	280.37	448.92
(7-9 AM)	Gyeonggi	Seoul	70.32	165.51
	Gyeonggi	Gyeonggi	216.07	619.37
	Seoul	Seoul	836.94	4042.69
PM peak	Seoul	Gyeonggi	340.25	795.70
(6-8 PM)	Gyeonggi	Seoul	58.01	85.71
	Gyeonggi	Gyeonggi	225.83	525.61
	Seoul	Seoul	181.93	1207.95
Off pool	Seoul	Gyeonggi	42.98	104.81
	Gyeonggi	Seoul	9.85	23.84
	Gyeonggi	Seoul	51.01	164.73

1 PM). In this study, we assume that passenger demand values in time windows other than AM and PM peaks are equal

to off-peak passenger demand. As a result, the values in the *Off-peak* row were calculated based on the values in the
 Total, AM peak, and PM peak rows.

Table 7 shows the model parameters used for the case study. The area of Seoul is 605.24 km<sup>2</sup>; the area of Gyeonggi (selected areas only) is 2799.20 km<sup>2</sup>.  $l_{ij}$  represents the average travel distance from zone *i* to zone *j*. The passenger demand is assumed to be uniformly distributed, so  $l_{ij}$  is the average distance between one random point in zone *i* and

one random point in zone j. We use the following approximation from Rodriguez-Bachiller (1983) and Wilson (1990)

\* to calculate  $l_{ij}$ :

$$l_{ij} = \sqrt{\left(\left(\bar{d}_{i*}\right)^2 + \left(\bar{d}_{j*}\right)^2\right) + \left(\bar{d}_{ij}^*\right)^2},$$

$$\approx \sqrt{0.18\left(R_i + R_j\right) + \left(\bar{d}_{ij}^*\right)^2},$$
(6.1)

where  $\bar{d}_{i*}$  is the average distance from one point in zone *i* to the centroid of zone *i*, and  $\bar{d}_{ij}^*$  is the distance between the centroids of zone *i* and zone *j*. In the Table 7, the subscript *s* refers to Seoul and the subscript *g* refers to Gyeonggi. For example,  $l_{sg}$  refers to the average travel distance from Seoul to Gyeonggi.

Because we covered the sensitivity analysis of different cost values in Section 3, we assumed in this section that the costs are pre-determined. We used  $35.36 \/veh/day$  for  $C_m$  referenced from Estrada et al. (2021). $C_{y,1}$  is assumed to be 4.73  $\/spaces/day$  and  $C_{y,2}$  is assumed to be 0.24  $\/spaces/day$ , which are the amortized land cost of two zones respectively, referenced from declared land value announced by the Korean Ministry of Land, Infrastructure, and Transport. We assumed that  $C_x$  is 1  $\/stations/day$  to make sure that C x was neither too large nor too small compared to the other cost variables.

The results of this case study are shown in Table 8. To analyze the effect of relocation and inter-zonal demands in T-APPM, we compare the results of T-APPM with three baselines. The first baseline is the current operating values in Seoul and Gyeonggi, denoted as "Current" in Table 8. The second and third baselines are the results of S-APPM introduced in Section 3, denoted as "Seoul Only S-APPM" and "Gyeonggi Only S-APPM." "Seoul Only S-APPM" refers to the results of S-APPM when only the intra-zonal passenger demand in Seoul is considered as in Section 4, and

Model parameters used for the case study.

Var	iable	Units	Value
D	$R_s$	[km <sup>2</sup> ]	605.24
Λ	R <sub>g</sub>	[km <sup>2</sup> ]	2799.20
	l <sub>ss</sub>	[km]	14.76
T	l <sub>sg</sub>	[km]	25.48
L	$l_{gs}$	[km]	25.48
	l <sub>gg</sub>	[km]	31.74
	$v_{ss,min}, v_{ss,max}$	[km/hr]	18.0, 40.0
V	$v_{sg,min}, v_{sg,max}$	[km/hr]	25.0, 35.0
v	$v_{gs,min}, v_{gs,max}$	[km/hr]	25.0, 35.0
	$v_{gg,min}, v_{gg,max}$	[km/hr]	20.0, 50.0
р		-	0.95
q		-	0.95
α		-	2
Ι		-	1
H		-	2
к		-	0.5
$T_0$		[hr]	1/60
	$C_m$	[\$/veh/day]	35.616
C	$C_x$	[\$/stations/day]	1
U	$C_{y,s}$	[\$/spaces/day]	4.73
	$C_{y,g}$	[\$/spaces/day]	0.24

"Gyeonggi Only S-APPM" refers to the results of S-APPM when only the intra-zonal passenger demand in Gyeonggi
 is considered.

In Table 8, it can be seen that  $x_s$  increased while  $x_g$  decreased in both demand scenarios. Since x is proportional

to the squared reciprocal of  $T_{A,t}^1$ , this result implies that the average passenger waiting time in Seoul decreased and the average passenger waiting time in Gyeonggi increased when the two zones were considered together. The results of y

<sup>6</sup> are notable. In both demand scenarios, the density of parking spaces in Seoul  $(y_s)$  increased slightly, while the density

 $\tau$  of parking spaces in Gyeonggi  $(y_g)$  increased significantly. This shows that increasing the number of parking spaces

in Gyeonggi is more cost-efficient than increasing the number of parking spaces in Seoul. These results show that
 T A DDM is exactly a finance and if for any state of the second st

T-APPM is capable of incorporating different cost variables across two regions so that the most cost-efficient solution 9 can be derived. The densities of parking spaces in both Seoul and Gyeonggi are significantly less than the "Current" 10 values of Seoul and Gyeonggi. This result implies that if the SAV system is introduced, the number of parking spaces 11 will be significantly reduced. As a result of changes in x and y, the average number of parking spaces at each parking 12 station in Seoul  $z_s$  changed slightly, while that of Gyeonggi  $z_g$  increased significantly. From this result, it can be 13 concluded that, with the SAV system, it is more cost-efficient to have fewer parking stations with greater numbers of 14 parking spaces, and especially to install more parking spaces in suburbs, which have lower land costs, reducing overall 15 cost. Both  $m_s$  and  $m_q$  increase in T-APPM results because of increases in passenger demand. However, the results 16 show that, compared to the "Current" situation, it is possible to significantly decrease the total number of vehicles by 17 using the SAV system. Even when SAV replaces all passenger modes, including train, bus, and subway, and serves 18 as a primary mode of passenger trips (i.e., the result of Passenger Demand by All-Mode, shown in Table 8), the total 19 number of vehicles is still less than the "Current" value, which is currently only used for passenger demand by personal 20 vehicles. 21

# Table 8Summary of results of Case Study for T-APPM

Demand Type	Variable		Current	Seoul Only S-APPM	Gyeonggi Only S-APPM	T-APPM
	$x_s$	[stations/km <sup>2</sup> ]	524.09	11.66	-	13.36
	x <sub>g</sub>	[stations/km <sup>2</sup> ]	97.92	-	10.32	8.16
	$y_s$	[spaces/km <sup>2</sup> ]	7,150.72	718.22	-	820.12
Passenger Demand	$y_g$	[spaces/km <sup>2</sup> ]	1740.12	-	175.66	366.54
hy Porconal Vohiclo	$Z_s$	[spaces/station]	13.64	61.59	-	61.39
by reisonal venicle	$Z_g$	[spaces/station]	17.77	-	17.01	44.90
	m <sub>s</sub>	[veh]	2,703,429	477,944	-	605,699
	m <sub>g</sub>	[veh]	4,394,130	-	536,827	1,327,406
	m	[veh]	7,097,559	-	-	1,933,105
	x <sub>s</sub>	[stations/km <sup>2</sup> ]	524.09	27.512	-	28.54
	xg	[stations/km <sup>2</sup> ]	97.92	-	17.68	13.12
	$y_s$	[spaces/km <sup>2</sup> ]	7,150.72	3728.66	-	3758.08
Passenger Demand	$y_g$	[spaces/km <sup>2</sup> ]	1740.12	-	469.24	640.92
by All Mode	$Z_s$	[spaces/station]	13.64	135.52	-	131.68
by All Mode	$Z_g$	[spaces/station]	17.77	-	26.53	48.85
	m <sub>s</sub>	[veh]	2,703,429	2,549,647	-	2,583,452
	m <sub>g</sub>	[veh]	4,394,130	-	1,460,981	2,344,356
	т	[veh]	7,097,559	-	-	5,123,078

# <sup>1</sup> 7. Conclusion

This study presents two analytical models to describe parking operations for SAVs in a given urban transporta-2 tion system: the Single-zone Analytical Parking Planning Model (S-APPM) and the Two-zone Analytical Parking 3 Planning Model (T-APPM). S-APPM assumes that the given traffic network is a single network with homogeneous Δ network characteristics and passenger demand. On the other hand, T-APPM assumes that the given traffic network 5 contains two separate zones, usually the city center and suburb. Both models are carefully derived based on the gen-6 eral model of demand-responsive transportation services (Daganzo and Ouyang, 2019a), introducing novel concepts 7 such as parking and relocation. S-APPM offers a closed-form solution for parking operation scenarios with single-zone 8 intra-zonal passenger trips. Consequently, computational complexity is significantly reduced compared to previously 9 studied simulation-based methodologies. Extending S-APPM, T-APPM introduces inter-zonal passenger trips and the 10 relocation of vehicles. The solution of the case study for T-APPM shows that this model can incorporate different 11 macroscopic characteristics across two zones. 12 The contribution of this study is that two models allow policy-makers and decision-makers to plan parking op-

The contribution of this study is that two models allow policy-makers and decision-makers to plan parking operations under the dominance of Shared Autonomous Vehicles, yielding approximated numbers for both densities of parking stations and parking spaces. Using the proposed models to find optimal operational variables is much simpler than using previously studied simulation-based approaches. The simulation-based methods require much effort and time to set the simulation environment and run the simulation with different variables. However, the solutions from the proposed models can be derived in much less time and have much less complexity.

There are several assumptions made during the model derivations; these assumptions can be further studied so that 19 the model can be made more realistic. As discussed in Section 3.2, it is possible to allocate a new passenger request 20 to an SAV cruising back to the parking station (state C). The dotted line in Figure 2 indicates this state transition. 21 Introducing this state transition would improve the efficiency of the whole system and, as a result, results will be 22 better with a smaller SAV fleet and fewer parking spaces. Forcing SAVs to park between trips might add eVMT to the 23 system and inflate congestion. This is particularly relevant in urban areas where traffic and parking are already pressing 24 issues. One possible workaround to address this concern is to introduce state-specific cost variables into the model, 25 which would allow for more targeted optimization of the system. These variables could account for the costs associated 26 with eVMT and parking. By incorporating state-specific cost variables, we can fine-tune the model to optimize the 27 allocation of SAVs and the distribution of parking stations and spaces. With a proper configuration, we expect that 28 our model will increase the density of parking stations and parking spaces to ensure that the deadheading eVMT is not 29 significant. This approach could lead to more efficient SAV operations that minimize congestion, better utilize parking 30 resources, and provide a more realistic representation of SAV deployments in urban environments. Another way to 31 reduce eVMT and potentially alleviate congestion is to incorporate the effect of Dynamic Ride Sharing (DRS) into the 32 model. DRS enables multiple passengers with similar origins and destinations to share a single SAV, thus optimizing 33 the allocation of SAVs and reducing the overall fleet size required. By integrating DRS into the model, it is possible 34 to achieve a more efficient SAV operation that minimizes empty VMT, optimizes parking resources, and mitigates 35 the impact on urban traffic. While the current model mainly focuses on systems without ridesharing, it can easily be 36 integrated with the framework proposed by Daganzo and Ouyang (2019a) to incorporate the effect of DRS, making it 37 a valuable foundation for future research. Figure 10 shows our suggestion for future researchers to incorporate DRS 38 into our model. In this figure, the numbers within each node represent a vehicle's workload, characterized by a tuple of 39 non-negative integers (i, j). The first index, i, denotes the number of passengers currently inside the vehicle, while the 40 second index, j, indicates the number of passengers assigned to the vehicle for future pick-up. By incorporating these 41 indices into the model, it is possible to track the allocation of SAVs more accurately and efficiently, taking into account 42 the real-time status of each vehicle as it serves multiple passengers simultaneously through dynamic ride-sharing. 43

Also, it is assumed in T-APPM that SAVs are relocated to other zones only from state C. However, it is also 44 realistic to assume that relocation of vehicles can happen from state P. This change can improve the model by dealing 45 with cases in which extreme relocation of vehicles is required, and vehicles parked in one zone must be relocated to 46 the other zone. Also, we used several approximations in both case studies in Section 4 and Section 6. The results of 47 the case studies can be more realistic if we use field-observed values instead of approximated values. Using urban 48 vehicle trajectory data is desirable to achieve adequate values for each parameters (Naveh and Kim, 2018; Choi et al., 49 2021; Jin et al., 2022). Additionally, while we assumed that SAVs would be equipped with advanced sensors and 50 communication technologies, such as 5G networks, which are necessary for real-time data transmission and vehicle-51 to-vehicle communication, we did not explicitly consider the cost-benefit of data transfer for one vehicle in our study. 52



**Figure 10:** Modified workload transition network when incorporating the effect of Dynamic Ride Sharing into the model proposed in this study. Vehicle's workload is characterized by a tuple of non-negative integers (i, j). The first index, i, denotes the number of passengers currently inside the vehicle, while the second index, j, indicates the number of passengers assigned to the vehicle for future pick-up. By incorporating these indices into the model, it is possible to track the allocation of SAVs more accurately and efficiently, taking into account the real-time status of each vehicle as it serves multiple passengers simultaneously through dynamic ride-sharing.

Furthermore, while we acknowledge that the current energy crisis worldwide may affect the operating costs of SAVs,
 our study was intended to provide a general framework for parking planning with SAV fleets, rather than to conduct
 a comprehensive analysis of the impact of energy crises on SAVs. However, we recognize the importance of further

<sup>4</sup> research in this direction and will consider it in future work.

Future research can be conducted in various directions. In T-APPM, for simplicity of the model, we considered 5 only inter-zonal passenger trips between two zones. However, T-APPM can be further investigated using a multi-zone 6 approach. For example, we assumed in Sections 4 and 6 that the macroscopic characteristics of Seoul and Gyeonggi, 7 such as passenger demand and costs, were homogeneous. However, Seoul and Gyeonggi can be split into multiple 8 zones with different macroscopic characteristics. To create a "multi-zonal" analytical parking planning model, it is 9 necessary to consider much more complex relocation between zone pairs. Developing a multi-zonal analytical park-10 ing planning model will contribute to addressing the inherent heterogeneity present in urban transportation systems. 11 By incorporating spatial variations in demand, travel speeds, and infrastructure availability, a multi-zonal model can 12 provide a more accurate representation of real-world dynamics and allow for more targeted optimization strategies. 13 Such improvements will answer questions like 'Where exactly should parking stations be located?' 14

While the APPM may be particularly useful for new developments or greenfield sites, it can also be applied to 15 existing cities with some modifications. In these cases, the model can be used to identify areas where parking demand 16 is high or where there is a shortage of parking spaces, and to evaluate different planning scenarios and their impacts on 17 parking supply and demand. In addition, since most SAVs are likely to be electric vehicles (EV), it is also necessary 18 to consider battery and charging infrastructure (Lee et al., 2021) when planning the parking operation. In the incoming 19 era of SAVs, parking stations will serve as storage places for unused vehicles and as depots that manage overall SAV 20 operation, including charging. As a result, incorporating existing studies on the battery cycle of EVs and the charging 21 infrastructure into parking operation is a good direction for future research. 22

# <sup>1</sup> CRediT authorship contribution statement

2 Seongjin Choi: Conceptualization, Methodology, Software, Formal analysis, Investigation, Resources, Data Cu-

3 ration, Writing - Original Draft, Visualization. Jinwoo Lee: Conceptualization, Methodology, Validation, Writing -Pavian & Editing Funding acquisition

A Review & Editing, Funding acquisition.

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# 1 A. Glossary of Notations

Notation	Unit	Meaning
<i>x</i>	[stations/km <sup>2</sup> ]	density of parking stations
<i>y</i>	[spaces/km <sup>2</sup> ]	density of parking spaces
m	[veh]	number of SAV fleets
<i>Z</i> .	[spaces/stations]	the average number of parking spaces in a parking station
R	[km <sup>2</sup> ]	area of target area
Cost	[\$/day]	overall daily average operation cost
T <sub>A,t</sub>	[hr]	average passenger waiting time
$T^{i}_{A t}$	[hr]	average travel time from the <i>i</i> -th nearest parking station to the origin of
71,1		the passenger
$T_0$	[hr]	maximum allowed average passenger waiting time
$C_x$	[\$/stations/day]	daily operation cost of a parking station
	[\$/spaces/day]	daily operation cost of a parking space
$C_m$	[\$/vehicles/day]	daily operation cost of an SAV fleet
n <sub>A</sub>	[veh]	the number of SAV fleets in state A
n <sub>S</sub>	[veh]	the number of SAV fleets in state S
n <sub>C</sub>	[veh]	the number of SAV fleets in state C
n <sub>P</sub>	[veh]	the number of SAV fleets in state P
$n_A^{req}$	[veh]	the required number of SAV fleets in state A
n <sub>S</sub> <sup>req</sup>	[veh]	the required number of SAV fleets in state S
n <sub>C</sub> <sup>req</sup>	[veh]	the required number of SAV fleets in state C
n <sup>req</sup>	[veh]	the required number of SAV fleets in state P
$v_{min}$	[veh]	the minimum average ground speed throughout the day
v <sub>max</sub>	[veh]	the maximum average ground speed throughout the day
$\lambda_t$	[veh/hr/km <sup>2</sup> ]	average passenger demand in time window <i>t</i>
$l_t$	[km]	average trip length
v <sub>t</sub>	[km/hr]	average ground speed in time window t
T <sub>S,t</sub>	[veh]	average travel time for passenger trip
$T_{C,t}$	[veh]	average travel time from the destination of the passenger to the nearest
		parking station
$T^i_{C,t}$	[veh]	average travel time from the destination of the passenger to the <i>i</i> -th near-
		est parking station
р	-	confidence level to guarantee that the passengers are assigned to the
		nearest parking station at a certain confidence level.
<i>q</i>	-	confidence level guarantee that the nearest parking station is not full.
α	-	the incremental ratio of the travel time to the next nearest parking station
Н	[hr]	length of a time window
Ι	-	the mean-to-variance ratio of the number of fleets parked at each parking station

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**Figure 11:** Modified workload transition network when incorporating the effect of Dynamic Ride Sharing into the model proposed in this study. The vehicle's workload is characterized by a tuple of non-negative integers (i, j). The first index, *i*, denotes the number of passengers currently inside the vehicle, while the second index, *j*, indicates the number of passengers assigned to the vehicle for future pick-up. (a) Overall workload transition network, (b) Workload transition network at  $t = t_{\lambda_{max}}$  (only red lines are considered), and (c) Workload transition network at  $t = t_{\lambda_{max}}$  (only red lines are considered).

### **B.** Integration of Dynamic Ride-Sharing in S-APPM

We consider a case where the maximum number of occupants in a vehicle is two people, following Daganzo and Ouyang (2019a). Figure 11 (a) shows the overall modified workload transition network. The vehicle's workload is characterized by a tuple of non-negative integers (i, j). The first index, *i*, denotes the number of passengers currently inside the vehicle, while the second index, *j*, indicates the number of passengers assigned to the vehicle for future pick-up.

In S-APPM in Section 3, we considered two distinct time windows: one during which the demand is at its peak 7  $(t_{\lambda_{max}})$  and another during which the demand is at its lowest  $(t_{\lambda_{min}})$ . We analytically derived solutions by comparing 8 the operational variables within these two time windows. Following a similar approach, we can make certain simplifi-9 cations for the integration of pooling. Given that high demand at  $t_{\lambda_{max}}$  implies a large number of individuals seeking to 10 use SAVs, we can simplify the integration of pooling by assuming that during this time window, each SAV is consis-11 tently occupied by two passengers. This assumption aligns with the concept that when demand is high, the probability 12 of finding passengers with similar routes increases, making pooling more efficient. Conversely, during the time win-13 dow when the demand is at its minimum  $(t_{\lambda_{min}})$ , we can assume that SAVs are occupied by only one passenger. This 14 assumption stems from the understanding that during periods of low demand, the likelihood of matching passengers 15 with similar routes decreases. These assumptions enable us to form a basic analytical framework to understand the 16 interaction between parking planning and pooling by focusing on how vehicle occupancy changes in response to fluc-17 tuations in demand. However, it is important to acknowledge that these assumptions are simplifications and that in 18 real-world scenarios, demand and occupancy can vary in more complex patterns. 19

As a consequence of the assumptions regarding passenger occupancy during different demand periods, we can conceptualize the workload transition network for the SAVs. Specifically, at the time window  $t_{\lambda_{max}}$ , when demand is at its peak and each SAV is assumed to be occupied by two passengers, the workload transition network is assumed to take on the form depicted in Figure 11 (b) and only the red lines are considered as active state transitions. On the other hand, at the time window  $t_{\lambda_{min}}$ , when demand is at its lowest and each SAV is assumed to be occupied by a single passenger, the workload transition network is assumed to take on the form depicted in Figure 11 (c), which is identical to S-APPM.

The minimum required fleet size, or the maximum required number of SAVs,  $m^*$ , can be computed by summing the required number of vehicles at each state at  $t_{\lambda_{max}}$  as follows:

$$m^{*} = \max_{t} (m^{req}(t)) = m^{req}(t_{\lambda_{max}})$$
  
$$m^{req}(t_{\lambda_{max}}) = n_{01}^{req}(t_{\lambda_{max}}) + n_{02}^{req}(t_{\lambda_{max}}) + n_{11}^{req}(t_{\lambda_{max}}) + n_{20}^{req}(t_{\lambda_{max}}) + n_{10}^{req}(t_{\lambda_{max}}) + n_{C}^{req}(t_{\lambda_{max}}) + n_{P}^{req}(t_{\lambda_{max}}).$$
 (B.1)

The summation of  $n_{01}^{req}(t_{\lambda_{max}})$  and  $n_{02}^{req}(t_{\lambda_{max}})$  is equivalent to the number of SAV fleets in state A, denoted by  $n_A^{req}(t_{\lambda_{max}})$ , as presented in Section 3. This equivalence arises because the transition from states (0, 1) and (0, 2) to state (1, 1) involves the time it takes for the SAV at a parking station to be assigned to two passengers and then proceed to pick up one of them. As a result, the time spent in state (0, 1) and (0, 2) is essentially the travel time from the parking station to the origin location of the first passenger. While the unit passenger demand is represented by  $\lambda_{max}$ , the vehicle demand is effectively halved to  $\frac{\lambda_{max}}{2}$ . This is due to the assumption that there are two passengers in each vehicle as a result of pooling. Then, the summation of  $n_{01}^{req}(t_{\lambda_{max}})$  and  $n_{02}^{req}(t_{\lambda_{max}})$  can be calculated as follows:

$$n_{01}^{req}(t_{\lambda_{max}}) + n_{02}^{req}(t_{\lambda_{max}}) = \frac{\lambda_{max}R}{2} \cdot T^{1}_{A,t_{\lambda_{max}}}(p + \alpha p - \alpha p^{2}).$$
(B.2)

The time spent in state (1, 1) represents the travel time from the origin location of the first passenger to the origin
 location of the second passenger. This travel time can be calculated analytically using the expression for the expected
 distance to the closest of n random points, as presented in Section 7.A of Daganzo and Ouyang (2019b). As a result,
 the number of SAV fleets in the state (1,1) can be calculated as follows:

$$n_{11}^{req}(t_{\lambda_{max}}) = \frac{\lambda_{max}R}{2} \cdot \sqrt{\frac{R}{n_{01}^{req}(t_{\lambda_{max}}) + n_{02}^{req}(t_{\lambda_{max}})}} \cdot \frac{\kappa}{v_{min}}$$

$$= \frac{\lambda_{max}R}{2} \cdot \sqrt{\frac{2}{\lambda_{max} \cdot (p + \alpha p - \alpha p^2)}} \cdot \frac{\kappa}{v_{min}} \cdot \sqrt{\frac{1}{T_{A,t_{\lambda_{max}}}^1}}$$
(B.3)

Once the second passenger boards, the vehicle moves to the closer destination and drops off one, during state (2, 0). It then continues to the destination of the remaining passenger, during state (1, 0). The average travel time between the second pick-up and the last drop-off is higer than a single passenger trip without ridesharing,  $l_{t_{\lambda_{max}}} / v_{min}$ . The ratio between these two average in-service travel times is denoted by  $\gamma_{t_{\lambda_{max}}} > 1$ . Note that in the setting of Daganzo and Ouyang (2019a), it is  $1 + \frac{1}{\sqrt{2}}$ . The in-service SAV fleet size is:

$$n_{10}^{req}(t_{\lambda_{max}}) + n_{20}^{req}(t_{\lambda_{max}}) = \gamma_{t_{\lambda_{max}}} \cdot \frac{\lambda_{max}R}{2} \cdot \frac{l_{t_{\lambda_{max}}}}{v_{min}}.$$
(B.4)

The number of SAV fleets in state C and P should be equivalent to the derivation from Section 3 as follows:

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$$n_{C}^{req}(t_{\lambda_{max}}) = \frac{\lambda_{max}R}{2} \cdot T_{A,t_{\lambda_{max}}}^{1}$$

$$n_{P}^{req}(t_{\lambda_{max}}) = \kappa \Phi^{-1}(p) \sqrt{\lambda_{max}RHI} \frac{1}{v_{min}T_{A,t_{\lambda_{max}}}^{1}}.$$
(B.5)

As a result,

$$m^{*} = \frac{\lambda_{max}R}{2} (1 + p + \alpha p - \alpha p^{2}) \cdot T^{1}_{A,t_{\lambda_{max}}} + \frac{\lambda_{max}R}{2} \cdot \sqrt{\frac{2}{\lambda_{max} \cdot (p + \alpha p - \alpha p^{2})}} \cdot \frac{\kappa}{v_{min}} \cdot \sqrt{\frac{1}{T^{1}_{A,t_{\lambda_{max}}}}} + \gamma_{t_{\lambda_{max}}} \cdot \frac{\lambda_{max}R}{2} \cdot \frac{l_{t_{\lambda_{max}}}}{v_{min}} + \kappa \Phi^{-1}(p) \sqrt{\lambda_{max}RHI} \frac{1}{v_{min}T^{1}_{A,t_{\lambda_{max}}}}$$
(B.6)

For the case where the demand is at its minimum, the derivation can proceed in the same manner as in the original Single-Zone Analytical Parking Planning Model (S-APPM). As a result, we can derive the optimal density of parking spaces following Equation 3.19 and Equation 3.20. Since  $m^*$  has  $\sqrt{\frac{1}{T_{A,t_{\lambda_{max}}}^1}}$  term inside, Equation 3.22 would be updated with an additional term, which is  $P'_{-\frac{1}{2}} \left(T_{A,t_{\lambda_{max}}}^1\right)^{-\frac{1}{2}}$  as follows:

$$\min_{T_{A,t_{\lambda_{max}}}^{1}} Cost\left(T_{A,t_{\lambda_{max}}}^{1}\right) = \min_{T_{A,t_{\lambda_{max}}}^{1}} \left(P_{0}' + P_{-2}'\left((T_{A,t_{\lambda_{max}}}^{1})\right)^{-2} + P_{-1}'\left(T_{A,t_{\lambda_{max}}}^{1}\right)^{-1} + P_{1}'T_{A,t_{\lambda_{max}}}^{1} + P_{-\frac{1}{2}}'\left(T_{A,t_{\lambda_{max}}}^{1}\right)^{-\frac{1}{2}}\right).$$
(B.7)

The inclusion of the term  $\left(T_{A,t_{\lambda_{max}}}^{1}\right)^{-\frac{1}{2}}$  introduces additional complexity to Equation B.7. As a consequence, finding an analytical closed-form solution for this equation becomes increasingly challenging. However, this equation

<sup>5</sup> can still be numerically solved.